

**AGREEMENT BETWEEN  
CITY/COUNTY ASSOCIATION OF GOVERNMENTS AND GRAY BOWEN SCOTT  
FOR ON CALL FEDERAL AID RELATED PROJECT COORDINATION SERVICES**

This Agreement entered this [REDACTED] day of [REDACTED], 2017, by and between the City/County Association of Governments of San Mateo County, a joint powers agency, hereinafter called "LOCAL AGENCY" and Gray Bowen Scott, hereinafter called "CONSULTANT."

W I T N E S S E T H

WHEREAS, C/CAG has determined that CONSULTANT has the requisite qualifications to perform this work.

WHEREAS, by adoption of Resolution 17-32, the C/CAG Board of Directors has authorized the C/CAG Chair to execute an agreement with CONSULTANT and another service provider for on-call Project Coordination services, in an amount not to exceed \$400,000, for a three (3) year term, and has further authorized the Executive Director to execute task orders in full conformity with the terms and conditions of the on-call service agreement.

NOW, THEREFORE, IT IS HEREBY AGREED by the parties as follows:

**ARTICLE I INTRODUCTION**

- A. This contract is between the following named, hereinafter referred to as, CONSULTANT and the following named, hereinafter referred to as, LOCAL AGENCY:

The name of the "CONSULTANT" is as follows:

Gray Bowen Scott

Incorporated in the State of California

The Project Manager for the CONSULTANT will be Matt Todd.

The name of the "LOCAL AGENCY" is as follows:

City/County Association of Governments of San Mateo County (C/CAG)

The Contract Administrator for LOCAL AGENCY will be Jean Higaki.

- B. The work to be performed under this contract is described in Attachment B entitled "Scope of Work" and the approved CONSULTANT's Cost Proposal dated 6/23/17. The approved CONSULTANT's Cost Proposal is attached hereto (Attachment A) and incorporated by reference. If there is any conflict between the approved Cost Proposal and this contract, this contract shall take precedence.
- C. CONSULTANT agrees to indemnify and hold harmless LOCAL AGENCY, its officers, agents, and employees from any and all claims, demands, costs, or liability arising from or connected with the services provided hereunder due to negligent acts, errors, or omissions of

CONSULTANT or its officers, agents, or employees. CONSULTANT will reimburse LOCAL AGENCY for any expenditure, including reasonable attorney fees, incurred by LOCAL AGENCY in defending against claims ultimately determined to be due to negligent acts, errors, or omissions of CONSULTANT or its officers, agents, or employees.

- D. CONSULTANT and the agents and employees of CONSULTANT, in the performance of this contract, shall act in an independent capacity and not as officers or employees or agents of LOCAL AGENCY.
- E. Without the written consent of LOCAL AGENCY, this contract is not assignable by CONSULTANT either in whole or in part.
- F. No alteration or variation of the terms of this contract shall be valid unless made in writing and signed by the parties hereto; and no oral understanding or agreement not incorporated herein shall be binding on any of the parties hereto.
- G. The consideration to be paid to CONSULTANT as provided herein shall be in compensation for all of CONSULTANT's expenses incurred in the performance hereof, including travel and per diem, unless otherwise expressly so provided.

## **ARTICLE II STATEMENT OF WORK**

C/CAG is a joint powers agency designated by the Metropolitan Transportation Commission (MTC) as the Congestion Management Agency (CMA) for San Mateo County. C/CAG, acting as the CMA is responsible for project selection, programming, and overall program delivery of federal aid funds received by the County. C/CAG has determined that on call consultant services are needed to assist staff with the performance of CMA delegated responsibilities.

- A. CONSULTANT shall perform the following broad range of project coordination services which are included on Attachment B entitled "Scope of Work" on an on-call basis.

## **ARTICLE III CONSULTANT'S REPORTS OR MEETINGS**

- A. CONSULTANT shall submit progress reports on each specific project in accordance with the Task Order. The report should be sufficiently detailed for LOCAL AGENCY's Contract Administrator to determine, if CONSULTANT is performing to expectations, or is on schedule; to provide communication of interim findings, and to sufficiently address any difficulties or special problems encountered, so remedies can be developed.
- B. CONSULTANT's Project Manager shall meet with LOCAL AGENCY's Contract Administrator or Project Coordinator, as needed, to discuss progress on the project(s).

## **ARTICLE IV PERFORMANCE PERIOD**

- A. This contract shall go into effect on **XXX XX, 2017**, contingent upon approval by LOCAL AGENCY, and CONSULTANT shall commence work after notification to proceed by LOCAL AGENCY'S Contract Administrator. The contract shall end on **XXX XX, 2019**, unless extended by contract amendment.

- B. CONSULTANT is advised that any recommendation for contract award is not binding on LOCAL AGENCY until the contract is fully executed and approved by LOCAL AGENCY.
- C. The period of performance for each specific project shall be in accordance with the Task Order for that project. If work on a Task Order is in progress on the expiration date of this contract, the terms of the contract shall be extended by contract amendment.

#### **ARTICLE V ALLOWABLE COSTS AND PAYMENTS**

- A. CONSULTANT will be reimbursed for hours worked at the hourly rates specified in CONSULTANT's Cost Proposal (Attachment A). The specified hourly rates shall include direct salary costs, employee benefits, overhead, and fee. These rates are not adjustable for the performance period set forth in this Contract.
- B. In addition, CONSULTANT will be reimbursed for incurred (actual) direct costs other than salary costs that are in the cost proposal and identified in the cost proposal and in the executed Task Order.
- C. Specific projects will be assigned to CONSULTANT through issuance of Task Orders.
- D. After a project to be performed under this contract is identified by LOCAL AGENCY, LOCAL AGENCY will prepare a draft Task Order; less the cost estimate. A draft Task Order will identify the scope of services, expected results, project deliverables, period of performance, project schedule and will designate a LOCAL AGENCY Project Coordinator. The draft Task Order will be delivered to CONSULTANT for review. CONSULTANT shall return the draft Task Order within ten (10) calendar days along with a Cost Estimate, including a written estimate of the number of hours and hourly rates per staff person, any anticipated reimbursable expenses, overhead, fee if any, and total dollar amount. After agreement has been reached on the negotiable items and total cost; the finalized Task Order shall be signed by both LOCAL AGENCY and CONSULTANT.
- E. Task Orders may be negotiated for a lump sum (Firm Fixed Price) or for specific rates of compensation, both of which must be based on the labor and other rates set forth in CONSULTANT's Cost Proposal.
- F. Reimbursement for transportation and subsistence costs shall not exceed the rates as specified in the approved Cost Proposal.
- G. When milestone cost estimates are included in the approved Cost Proposal, CONSULTANT shall obtain prior written approval for a revised milestone cost estimate from the Contract Administrator before exceeding such estimate.
- H. Progress payments for each Task Order will be made monthly in arrears based on services provided and actual costs incurred.
- I. CONSULTANT shall not commence performance of work or services until this contract has been approved by LOCAL AGENCY, and notification to proceed has been issued by LOCAL

AGENCY'S Contract Administrator. No payment will be made prior to approval or for any work performed prior to approval of this contract.

- J. A Task Order is of no force or effect until returned to LOCAL AGENCY and signed by an authorized representative of LOCAL AGENCY. No expenditures are authorized on a project and work shall not commence until a Task Order for that project has been executed by LOCAL AGENCY.
- K. CONSULTANT will be reimbursed, as promptly as fiscal procedures will permit upon receipt by LOCAL AGENCY'S Contract Administrator of itemized invoices. Separate invoices itemizing all costs are required for all work performed under each Task Order. Invoices shall be submitted no later than 45 calendar days after the performance of work for which CONSULTANT is billing, or upon completion of the Task Order. Invoices shall detail the work performed on each milestone, on each project as applicable. Invoices shall follow the format stipulated for the approved Cost Proposal and shall reference this contract number, project title and Task Order number. Credits due LOCAL AGENCY that include any equipment purchased under the provisions of Article XI Equipment Purchase of this contract, must be reimbursed by CONSULTANT prior to the expiration or termination of this contract. Invoices shall be mailed to LOCAL AGENCY's Contract Administrator at the following address:  
  
Jean Higaki  
C/CAG of San Mateo County  
555 County Center, 5<sup>th</sup> Floor  
Redwood City, CA 94063
- L. The period of performance for Task Orders shall be in accordance with dates specified in the Task Order. No Task Order will be written which extends beyond the expiration date of this Contract.
- M. The total amount payable by LOCAL AGENCY for an individual Task Order shall not exceed the amount agreed to in the Task Order, unless authorized by contract amendment.
- N. If the Consultant fails to satisfactorily complete a deliverable according to the schedule set forth in a Task Order, no payment will be made until the deliverable has been satisfactorily completed.
- O. Task Orders may not be used to amend this Agreement and may not exceed the scope of work under this Agreement.
- P. The total amount payable by LOCAL AGENCY for all Task Orders resulting from this contract shall not exceed \$400,000. It is understood and agreed that there is no guarantee, either expressed or implied that this dollar amount will be authorized under this contract through Task Orders.
- Q. All subcontracts in excess of \$25,000 shall contain the above provisions.

## **ARTICLE VI TERMINATION**

- A. LOCAL AGENCY reserves the right to terminate this contract for any reason upon thirty (30) calendar days written notice to CONSULTANT with the reasons for termination stated in the notice.
- B. LOCAL AGENCY may terminate this contract with CONSULTANT by giving ten (10) days written notice should CONSULTANT fail to perform the covenants herein contained at the time and in the manner herein provided. In the event of such termination, LOCAL AGENCY may proceed with the work in any manner deemed proper by LOCAL AGENCY. If LOCAL AGENCY terminates this contract with CONSULTANT, LOCAL AGENCY shall pay CONSULTANT the sum due to CONSULTANT under this contract prior to termination, unless the cost of completion to LOCAL AGENCY exceeds the funds remaining in the contract. In which case the overage shall be deducted from any sum due CONSULTANT under this contract and the balance, if any, shall be paid to CONSULTANT upon demand.
- C. The maximum amount for which the Government shall be liable if this contract is terminated is the dollar amount for approved task orders completed at the time of the notice of termination.

## **ARTICLE VII COST PRINCIPLES AND ADMINISTRATIVE REQUIREMENTS**

- A. CONSULTANT agrees that the Contract Cost Principles and Procedures, 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., shall be used to determine the cost allowability of individual items.
- B. CONSULTANT also agrees to comply with federal procedures in accordance with 49 CFR, Part 18, and Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments.
- C. Any costs for which payment has been made to CONSULTANT that are determined by subsequent audit to be unallowable under 49 CFR, Part 18 and 48 CFR, Federal Acquisition Regulations System, Chapter 1, Part 31.000 et seq., are subject to repayment by CONSULTANT to LOCAL AGENCY.
- D. All subcontracts in excess of \$25,000 shall contain the above provisions.

## **ARTICLE VIII RETENTION OF RECORDS/AUDIT**

For the purpose of determining compliance with Public Contract Code 10115, et seq. and Title 21, California Code of Regulations, Chapter 21, Section 2500 et seq., when applicable and other matters connected with the performance of the contract pursuant to Government Code 8546.7; CONSULTANT, subconsultants, and LOCAL AGENCY shall maintain and make available for inspection all books, documents, papers, accounting records, and other evidence pertaining to the performance of the contract, including but not limited to, the costs of administering the contract. All parties shall make such materials available at their respective offices at all reasonable times during the contract period and for three years from the date of final payment under the contract. The state, State Auditor, LOCAL AGENCY, FHWA, or any duly authorized representative of the Federal Government shall have access to any books, records,

and documents of CONSULTANT and its certified public accountants (CPA) work papers that are pertinent to the contract and indirect cost rates (ICR) for audit, examinations, excerpts, and transactions, and copies thereof shall be furnished if requested. Subcontracts in excess of \$25,000 shall contain this provision.

#### **ARTICLE IX AUDIT REVIEW PROCEDURES**

- A. Any dispute concerning a question of fact arising under an interim or post audit of this contract that is not disposed of by agreement, shall be reviewed by LOCAL AGENCY'S Chief Financial Officer.
- B. Not later than 30 days after issuance of the final audit report, CONSULTANT may request a review by LOCAL AGENCY'S Chief Financial Officer of unresolved audit issues. The request for review will be submitted in writing.
- C. Neither the pendency of a dispute nor its consideration by LOCAL AGENCY will excuse CONSULTANT from full and timely performance, in accordance with the terms of this contract.
- D. CONSULTANT and subconsultant contracts, including cost proposals and ICR, are subject to audits or reviews such as, but not limited to, a contract audit, an incurred cost audit, an ICR Audit, or a CPA ICR audit work paper review. If selected for audit or review, the contract, cost proposal and ICR and related work papers, if applicable, will be reviewed to verify compliance with 48 CFR, Part 31 and other related laws and regulations. In the instances of a CPA ICR audit work paper review it is CONSULTANT's responsibility to ensure federal, state, or local government officials are allowed full access to the CPA's work papers including making copies as necessary. The contract, cost proposal, and ICR shall be adjusted by CONSULTANT and approved by LOCAL AGENCY contract manager to conform to the audit or review recommendations. CONSULTANT agrees that individual terms of costs identified in the audit report shall be incorporated into the contract by this reference if directed by LOCAL AGENCY at its sole discretion. Refusal by CONSULTANT to incorporate audit or review recommendations, or to ensure that the federal, state or local governments have access to CPA work papers, will be considered a breach of contract terms and cause for termination of the contract and disallowance of prior reimbursed costs.

The provisional ICR will apply to this contract and all other contracts executed between LOCAL AGENCY and the CONSULTANT, either as a prime or subconsultant, with the same fiscal period ICR.

#### **ARTICLE X SUBCONTRACTING**

- A. Nothing contained in this contract or otherwise, shall create any contractual relation between LOCAL AGENCY and any subconsultant(s), and no subcontract shall relieve CONSULTANT of its responsibilities and obligations hereunder. CONSULTANT agrees to be as fully responsible to LOCAL AGENCY for the acts and omissions of its subconsultant(s) and of persons either directly or indirectly employed by any of them as it is for the acts and omissions of persons directly employed by CONSULTANT. CONSULTANT's obligation to pay its subconsultant(s) is an independent obligation from LOCAL AGENCY'S obligation to make payments to the CONSULTANT.

- B. CONSULTANT shall perform the work contemplated with resources available within its own organization and no portion of the work pertinent to this contract shall be subcontracted without written authorization by LOCAL AGENCY's Contract Administrator, except that, which is expressly identified in the approved Cost Proposal.
- C. CONSULTANT shall pay its subconsultants within ten (10) calendar days from receipt of each payment made to CONSULTANT by LOCAL AGENCY.
- D. Any subcontract in excess of \$25,000 entered into as a result of this contract shall contain all the provisions stipulated in this contract to be applicable to subconsultants.
- E. Any substitution of subconsultant(s) must be approved in writing by LOCAL AGENCY's Contract Administrator prior to the start of work by the subconsultant(s).

#### **ARTICLE XI EQUIPMENT PURCHASE**

- A. Prior authorization in writing, by LOCAL AGENCY's Contract Administrator shall be required before CONSULTANT enters into any unbudgeted purchase order, or subcontract exceeding \$5,000 for supplies, equipment, or CONSULTANT services. CONSULTANT shall provide an evaluation of the necessity or desirability of incurring such costs.
- B. For purchase of any item, service or consulting work not covered in CONSULTANT's Cost Proposal and exceeding \$5,000 prior authorization by LOCAL AGENCY's Contract Administrator, three competitive quotations must be submitted with the request, or the absence of bidding must be adequately justified.
- C. Any equipment purchased as a result of this contract is subject to the following: "CONSULTANT shall maintain an inventory of all nonexpendable property. Nonexpendable property is defined as having a useful life of at least two years and an acquisition cost of \$5,000 or more. If the purchased equipment needs replacement and is sold or traded in, LOCAL AGENCY shall receive a proper refund or credit at the conclusion of the contract, or if the contract is terminated, CONSULTANT may either keep the equipment and credit LOCAL AGENCY in an amount equal to its fair market value, or sell such equipment at the best price obtainable at a public or private sale, in accordance with established LOCAL AGENCY procedures; and credit LOCAL AGENCY in an amount equal to the sales price. If CONSULTANT elects to keep the equipment, fair market value shall be determined at CONSULTANT's expense, on the basis of a competent independent appraisal of such equipment. Appraisals shall be obtained from an appraiser mutually agreeable to by LOCAL AGENCY and CONSULTANT, if it is determined to sell the equipment, the terms and conditions of such sale must be approved in advance by LOCAL AGENCY." 49 CFR, Part 18 requires a credit to Federal funds when participating equipment with a fair market value greater than \$5,000 is credited to the project.
- D. All subcontracts in excess \$25,000 shall contain the above provisions.

#### **ARTICLE XII STATE PREVAILING WAGE RATES**

- A. The State of California's General Prevailing Wage Rates are not applicable to this contract.

### **ARTICLE XIII CONFLICT OF INTEREST**

- A. CONSULTANT shall disclose any financial, business, or other relationship with LOCAL AGENCY that may have an impact upon the outcome of this contract, or any ensuing LOCAL AGENCY construction project. CONSULTANT shall also list current clients who may have a financial interest in the outcome of this contract, or any ensuing LOCAL AGENCY construction project, which will follow.
- B. CONSULTANT hereby certifies that it does not now have, nor shall it acquire any financial or business interest that would conflict with the performance of services under this contract.
- C. Any subcontract in excess of \$25,000 entered into as a result of this contract, shall contain all of the provisions of this Article.

### **ARTICLE XIV REBATES, KICKBACKS OR OTHER UNLAWFUL CONSIDERATION**

CONSULTANT warrants that this contract was not obtained or secured through rebates, kickbacks or other unlawful consideration, either promised or paid to any LOCAL AGENCY employee. For breach or violation of this warranty, LOCAL AGENCY shall have the right, in its discretion: to terminate the contract without liability; to pay only for the value of the work actually performed; or to deduct from the contract price; or otherwise recover the full amount of such rebate, kickback or other unlawful consideration.

### **ARTICLE XV PROHIBITION OF EXPENDING LOCAL AGENCY STATE OR FEDERAL FUNDS FOR LOBBYING**

- A. CONSULTANT certifies to the best of his or her knowledge and belief that:
  - 1. No state, federal or local agency appropriated funds have been paid, or will be paid by or on behalf of CONSULTANT to any person for influencing or attempting to influence an officer or employee of any state or federal agency; a Member of the State Legislature or United States Congress; an officer or employee of the Legislature or Congress; or any employee of a Member of the Legislature or Congress, in connection with the awarding of any state or federal contract; the making of any state or federal grant; the making of any state or federal loan; the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any state or federal contract, grant, loan, or cooperative agreement.
  - 2. If any funds other than federal appropriated funds have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency; a Member of Congress; an officer or employee of Congress, or an employee of a Member of Congress; in connection with this federal contract, grant, loan, or cooperative agreement; CONSULTANT shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- B. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making



or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

- C. CONSULTANT also agrees by signing this document that he or she shall require that the language of this certification be included in all lower-tier subcontracts, which exceed \$100,000 and that all such sub recipients shall certify and disclose accordingly.

#### **ARTICLE XVI STATEMENT OF COMPLIANCE**

- A. CONSULTANT's signature affixed herein, and dated, shall constitute a certification under penalty of perjury under the laws of the State of California that CONSULTANT has, unless exempt, complied with, the nondiscrimination program requirements of Government Code Section 12990 and Title 2, California Administrative Code, Section 8103.
- B. During the performance of this Contract, Consultant and its subconsultants shall not unlawfully discriminate, harass, or allow harassment against any employee or applicant for employment because of sex, race, color, ancestry, religious creed, national origin, physical disability (including HIV and AIDS), mental disability, medical condition (e.g., cancer), age (over 40), marital status, and denial of family care leave. Consultant and subconsultants shall insure that the evaluation and treatment of their employees and applicants for employment are free from such discrimination and harassment. Consultant and subconsultants shall comply with the provisions of the Fair Employment and Housing Act (Gov. Code §12990 (a-f) et seq.) and the applicable regulations promulgated there under (California Code of Regulations, Title 2, Section 7285 et seq.). The applicable regulations of the Fair Employment and Housing Commission implementing Government Code Section 12990 (a-f), set forth in Chapter 5 of Division 4 of Title 2 of the California Code of Regulations, are incorporated into this Contract by reference and made a part hereof as if set forth in full. Consultant and its subconsultants shall give written notice of their obligations under this clause to labor organizations with which they have a collective bargaining or other Agreement.
- C. The Consultant shall comply with regulations relative to Title VI (nondiscrimination in federally-assisted programs of the Department of Transportation – Title 49 Code of Federal Regulations, Part 21 - Effectuation of Title VI of the 1964 Civil Rights Act). Title VI provides that the recipients of federal assistance will implement and maintain a policy of nondiscrimination in which no person in the state of California shall, on the basis of race, color, national origin, religion, sex, age, disability, be excluded from participation in, denied the benefits of or subject to discrimination under any program or activity by the recipients of federal assistance or their assignees and successors in interest.
- D. The Consultant, with regard to the work performed by it during the Agreement shall act in accordance with Title VI. Specifically, the Consultant shall not discriminate on the basis of race, color, national origin, religion, sex, age, or disability in the selection and retention of Subconsultants, including procurement of materials and leases of equipment. The Consultant shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5

of the U.S. DOT's Regulations, including employment practices when the Agreement covers a program whose goal is employment.

#### **ARTICLE XVII DEBARMENT AND SUSPENSION CERTIFICATION**

- A. CONSULTANT's signature affixed herein, shall constitute a certification under penalty of perjury under the laws of the State of California, that CONSULTANT has complied with Title 2 CFR, Part 180, "OMB Guidelines to Agencies on Government wide Debarment and Suspension (nonprocurement)", which certifies that he/she or any person associated therewith in the capacity of owner, partner, director, officer, or manager, is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency; has not been suspended, debarred, voluntarily excluded, or determined ineligible by any federal agency within the past three (3) years; does not have a proposed debarment pending; and has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three (3) years. Any exceptions to this certification must be disclosed to LOCAL AGENCY.
- B. Exceptions will not necessarily result in denial of recommendation for award, but will be considered in determining CONSULTANT responsibility. Disclosures must indicate to whom exceptions apply, initiating agency, and dates of action.
- C. Exceptions to the Federal Government Excluded Parties List System maintained by the General Services Administration are to be determined by the Federal Highway Administration.

#### **ARTICLE XVIII FUNDING REQUIREMENTS**

- A. It is mutually understood between the parties that this contract may have been written before ascertaining the availability of funds or appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays that would occur if the contract were executed after that determination was made.
- B. This contract is valid and enforceable only if sufficient funds are made available to LOCAL AGENCY for the purpose of this contract. In addition, this contract is subject to any additional restrictions, limitations, conditions, or any statute enacted by the Congress, State Legislature, or LOCAL AGENCY governing board that may affect the provisions, terms, or funding of this contract in any manner.
- C. It is mutually agreed that if sufficient funds are not appropriated, this contract may be amended to reflect any reduction in funds.
- D. LOCAL AGENCY has the option to void the contract under the 30-day termination clause pursuant to Article VI, or by mutual agreement to amend the contract to reflect any reduction of funds.

#### **ARTICLE XIX CHANGE IN TERMS**

- A. This contract may be amended or modified only by mutual written agreement of the parties.

- B. CONSULTANT shall only commence work covered by an amendment after the amendment is executed and notification to proceed has been provided by LOCAL AGENCY's Contract Administrator.
- C. There shall be no change in CONSULTANT's Project Manager or members of the project team, as listed in the approved Cost Proposal, which is a part of this contract without prior written approval by LOCAL AGENCY's Contract Administrator.

#### **ARTICLE XX DISADVANTAGED BUSINESS ENTERPRISES (DBE) PARTICIPATION**

- A. This contract is subject to 49 CFR, Part 26 entitled "Participation by Disadvantaged Business Enterprises in Department of Transportation Financial Assistance Programs". Consultants who obtain DBE participation on this contract will assist Caltrans in meeting its federally mandated statewide overall DBE goal.
- B. The goal for DBE participation for this contract is     3    %. Participation by DBE consultant or subconsultants shall be in accordance with information contained in the Consultant Proposal DBE Commitment (Exhibit 10-O1), or in the Consultant Contract DBE Information (Exhibit 10-O2) attached hereto and incorporated as part of the Contract. If a DBE subconsultant is unable to perform, CONSULTANT must make a good faith effort to replace him/her with another DBE subconsultant, if the goal is not otherwise met.
- C. DBEs and other small businesses, as defined in 49 CFR, Part 26 are encouraged to participate in the performance of contracts financed in whole or in part with federal funds. CONSULTANT or subconsultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. CONSULTANT shall carry out applicable requirements of 49 CFR, Part 26 in the award and administration of US DOT-assisted agreements. Failure by CONSULTANT to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as LOCAL AGENCY deems appropriate.
- D. Any subcontract entered into as a result of this contract shall contain all of the provisions of this section.
- E. A DBE firm may be terminated only with prior written approval from LOCAL AGENCY and only for the reasons specified in 49 CFR 26.53(f). Prior to requesting LOCAL AGENCY consent for the termination, CONSULTANT must meet the procedural requirements specified in 49 CFR 26.53(f).
- F. A DBE performs a Commercially Useful Function (CUF) when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a CUF, the DBE must also be responsible with respect to materials and supplies used on the contract, for negotiating price, determining quality and quantity, ordering the material, and installing (where applicable) and paying for the material itself. To determine whether a DBE is performing a CUF, evaluate the amount of work subcontracted, industry practices, whether the amount the firm is to be paid under the contract is commensurate with the work it is actually performing, and other relevant factors.

- G. A DBE does not perform a CUF if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation. In determining whether a DBE is such an extra participant, examine similar transactions, particularly those in which DBEs do not participate.
- H. If a DBE does not perform or exercise responsibility for at least thirty percent (30%) of the total cost of its contract with its own work force, or the DBE subcontracts a greater portion of the work of the contract than would be expected on the basis of normal industry practice for the type of work involved, it will be presumed that it is not performing a CUF.
- I. CONSULTANT shall maintain records of materials purchased or supplied from all subcontracts entered into with certified DBEs. The records shall show the name and business address of each DBE or vendor and the total dollar amount actually paid each DBE or vendor, regardless of tier. The records shall show the date of payment and the total dollar figure paid to all firms. DBE prime consultants shall also show the date of work performed by their own forces along with the corresponding dollar value of the work.
- J. Upon completion of the Contract, a summary of these records shall be prepared and submitted on the form entitled, "Final Report-Utilization of Disadvantaged Business Enterprise (DBE), First-Tier Subconsultants" CEM-2402F [Exhibit 17-F, of the LAPM], certified correct by CONSULTANT or CONSULTANT's authorized representative and shall be furnished to the Contract Administrator with the final invoice. Failure to provide the summary of DBE payments with the final invoice will result in twenty-five percent (25%) of the dollar value of the invoice being withheld from payment until the form is submitted. The amount will be returned to CONSULTANT when a satisfactory "Final Report-Utilization of Disadvantaged Business Enterprises (DBE), First-Tier Subconsultants" is submitted to the Contract Administrator.
- K. If a DBE subconsultant is decertified during the life of the contract, the decertified subconsultant shall notify CONSULTANT in writing with the date of decertification. If a subconsultant becomes a certified DBE during the life of the Contract, the subconsultant shall notify CONSULTANT in writing with the date of certification. Any changes should be reported to LOCAL AGENCY's Contract Administrator within 30 days.

## **ARTICLE XXI CONTINGENT FEE**

CONSULTANT warrants, by execution of this contract that no person or selling agency has been employed, or retained, to solicit or secure this contract upon an agreement or understanding, for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees, or bona fide established commercial or selling agencies maintained by CONSULTANT for the purpose of securing business. For breach or violation of this warranty, LOCAL AGENCY has the right to annul this contract without liability; pay only for the value of the work actually performed, or in its discretion to deduct from the contract price or consideration, or otherwise recover the full amount of such commission, percentage, brokerage, or contingent fee.

## **ARTICLE XXII DISPUTES**

- A. Any dispute, other than audit, concerning a question of fact arising under this contract that is not disposed of by agreement shall be decided by a committee consisting of LOCAL AGENCY's

Contract Administrator and Executive Director, who may consider written or verbal information submitted by CONSULTANT.

- B. Not later than 30 days after completion of all work under the contract, CONSULTANT may request review by LOCAL AGENCY Governing Board of unresolved claims or disputes, other than audit. The request for review will be submitted in writing.
- C. Neither the pendency of a dispute, nor its consideration by the committee will excuse CONSULTANT from full and timely performance in accordance with the terms of this contract.

#### **ARTICLE XXIII INSPECTION OF WORK**

CONSULTANT and any subconsultant shall permit LOCAL AGENCY, the state, and the FHWA if federal participating funds are used in this contract; to review and inspect the project activities and files at all reasonable times during the performance period of this contract including review and inspection on a daily basis.

#### **ARTICLE XXIV SAFETY**

- A. CONSULTANT shall comply with OSHA regulations applicable to CONSULTANT regarding necessary safety equipment or procedures. CONSULTANT shall comply with safety instructions issued by LOCAL AGENCY Safety Officer and other LOCAL AGENCY representatives. CONSULTANT personnel shall wear hard hats and safety vests at all times while working on the construction project site.
- B. Pursuant to the authority contained in Section 591 of the Vehicle Code, LOCAL AGENCY has determined that such areas are within the limits of the project and are open to public traffic. CONSULTANT shall comply with all of the requirements set forth in Divisions 11, 12, 13, 14, and 15 of the Vehicle Code. CONSULTANT shall take all reasonably necessary precautions for safe operation of its vehicles and the protection of the traveling public from injury and damage from such vehicles.
- C. Any subcontract entered into as a result of this contract, shall contain all of the provisions of this Article.

#### **ARTICLE XXV INSURANCE**

- A. CONSULTANT or any subcontractors performing the services on behalf of CONSULTANT shall not commence work under this Contract until all Insurance required under this section has been obtained and such insurance has been approved by LOCAL AGENCY.
- B. CONSULTANT shall furnish LOCAL AGENCY with Certificates of Insurance evidencing the required coverage and there shall be a specific contractual liability endorsement extending CONSULTANT's coverage to include the contractual liability assumed by the CONSULTANT pursuant to this Contract. These Certificates shall specify or be endorsed to provide that thirty (30) days notice must be given, in writing, to LOCAL AGENCY of any pending change in the limits of liability or of non-renewal, cancellation, or modification of the policy. Such Insurance shall include at a minimum the following:

- a. Workers' Compensation and Employer Liability Insurance: CONSULTANT shall have in effect, during the entire life of this Contract, Workers' Compensation and Employer Liability Insurance providing full statutory coverage.
- b. Liability Insurance: CONSULTANT shall take out and maintain during the life of this Contract such Bodily Injury Liability and Property Damage Liability Insurance as shall protect LOCAL AGENCY, its employees, officers and agents while performing work covered by this Contract from any and all claims for damages for bodily injury, including accidental death, as well as any and all operations under this Contract, whether such operations be by the CONSULTANT or by any subcontractor or by anyone directly or indirectly employed by either of them. Such insurance shall be combined single limit bodily injury and property damage for each occurrence and shall be not less than \$1,000,000 unless another amount is specified below and shows approval by LOCAL AGENCY.
- c. Required insurance shall include:

|                                      | Required<br>Amount | Approval by LOCAL<br>AGENCY if under<br>\$1,000,000 |
|--------------------------------------|--------------------|---|
| a. Comprehensive General Liability   | \$ 1,000,000       |   |
| b. Worker's Compensation             | \$ Statutory       |   |
| c. Motor Vehicle Liability Insurance | \$ 1,000,000       |   |

- C. LOCAL AGENCY and its officers, agents, employees and servants shall be named as additional insured on any such policies of insurance, which shall also contain a provision that the insurance afforded thereby to LOCAL AGENCY, its officers, agents, employees and servants shall be primary insurance to the full limits of liability of the policy, and that if LOCAL AGENCY, or its officers and employees have other insurance against a loss covered by such a policy, such other insurance shall be excess insurance only.
- D. In the event of the breach of any provision of this section, or in the event any notice is received which indicates any required insurance coverage will be diminished or canceled, LOCAL AGENCY Chairperson, at his/her option, may, notwithstanding any other provision of this Agreement to the contrary, immediately declare a material breach of this Contract and suspend all further work pursuant to this Contract.

## **ARTICLE XXVI OWNERSHIP OF DATA**

- A. Work products of CONSULTANT which are delivered under this Contract or which are developed, produced and paid for under this Contract, shall be and become the property of LOCAL AGENCY. CONSULTANT shall not be liable for LOCAL AGENCY's use, modification or re-use of products without CONSULTANT's participation or for purpose other than those specifically intended pursuant to this Contract.
- B. It is understood and agreed that all calculations, drawings and specifications, whether in hard copy or machine-readable form, are intended for one-time use in the construction of the project for which this contract has been entered into.
- C. CONSULTANT is not liable for claims, liabilities, or losses arising out of, or connected with the modification, or misuse by LOCAL AGENCY of the machine-readable information and data provided by CONSULTANT under this contract; further, CONSULTANT is not liable for claims, liabilities, or losses arising out of, or connected with any use by LOCAL AGENCY of the project documentation on other projects for additions to this project, or for the completion of this project by others, except only such use as may be authorized in writing by CONSULTANT.
- D. Applicable patent rights provisions regarding rights to inventions shall be included in the contracts as appropriate (48 CFR 27, Subpart 27.3 - Patent Rights under Government Contracts for federal-aid contracts).
- E. LOCAL AGENCY may permit copyrighting reports or other agreement products. If copyrights are permitted; the agreement shall provide that the FHWA shall have the royalty-free nonexclusive and irrevocable right to reproduce, publish, or otherwise use; and to authorize others to use, the work for government purposes.

## **ARTICLE XXVII CLAIMS FILED BY LOCAL AGENCY'S CONSTRUCTION CONTRACTOR**

- A. If claims are filed by LOCAL AGENCY's construction contractor relating to work performed by CONSULTANT's personnel, and additional information or assistance from CONSULTANT's personnel is required in order to evaluate or defend against such claims; CONSULTANT agrees to make its personnel available for consultation with LOCAL AGENCY'S construction contract administration and legal staff and for testimony, if necessary, at depositions and at trial or arbitration proceedings.
- B. CONSULTANT's personnel that LOCAL AGENCY considers essential to assist in defending against construction contractor claims will be made available on reasonable notice from LOCAL AGENCY. Consultation or testimony will be reimbursed at the same rates, including travel costs that are being paid for CONSULTANT's personnel services under this contract.
- C. Services of CONSULTANT's personnel in connection with LOCAL AGENCY's construction contractor claims will be performed pursuant to a written contract amendment, if necessary, extending the termination date of this contract in order to resolve the construction claims.

## **ARTICLE XXVIII CONFIDENTIALITY OF DATA**

- A. All financial, statistical, personal, technical, or other data and information relative to LOCAL AGENCY's operations, which are designated confidential by LOCAL AGENCY and made available to CONSULTANT in order to carry out this contract, shall be protected by CONSULTANT from unauthorized use and disclosure.
- B. Permission to disclose information on one occasion, or public hearing held by LOCAL AGENCY relating to the contract, shall not authorize CONSULTANT to further disclose such information, or disseminate the same on any other occasion.
- C. CONSULTANT shall not comment publicly to the press or any other media regarding the contract or LOCAL AGENCY's actions on the same, except to LOCAL AGENCY's staff, CONSULTANT's own personnel involved in the performance of this contract, at public hearings or in response to questions from a Legislative committee.
- D. CONSULTANT shall not issue any news release or public relations item of any nature, whatsoever, regarding work performed or to be performed under this contract without prior review of the contents thereof by LOCAL AGENCY, and receipt of LOCAL AGENCY'S written permission.
- E. Any subcontract entered into as a result of this contract shall contain all of the provisions of this Article.

## **ARTICLE XXIX NATIONAL LABOR RELATIONS BOARD CERTIFICATION**

In accordance with Public Contract Code Section 10296, CONSULTANT hereby states under penalty of perjury that no more than one final unappealable finding of contempt of court by a federal court has been issued against CONSULTANT within the immediately preceding two-year period, because of CONSULTANT's failure to comply with an order of a federal court that orders CONSULTANT to comply with an order of the National Labor Relations Board.

## **ARTICLE XXX EVALUATION OF CONSULTANT**

CONSULTANT's performance will be evaluated by LOCAL AGENCY. A copy of the evaluation will be sent to CONSULTANT for comments. The evaluation together with the comments shall be retained as part of the contract record.

## **ARTICLE XXXI RETENTION OF FUNDS**

- A. Any subcontract entered into as a result of this Contract shall contain all of the provisions of this section.
- B. No retainage will be withheld by the Agency from progress payments due the prime consultant. Retainage by the prime consultant or subconsultants is prohibited, and no retainage will be held by the prime consultant from progress due subconsultants. Any violation of this provision shall subject the violating prime consultant or subconsultants to the penalties, sanctions, and other remedies specified in Section 7108.5 of the California Business and Professions Code. This



requirement shall not be construed to limit or impair any contractual, administrative, or judicial remedies, otherwise available to the prime consultant or subconsultant in the event of a dispute involving late payment or nonpayment by the prime consultant or deficient subconsultant performance, or noncompliance by a subconsultant. This provision applies to both DBE and non-DBE prime consultants and subconsultants.

#### **ARTICLE XXXII GOVERNING LAW**

This Agreement shall be governed by the laws of the State of California and any suit or action initiated by either party shall be brought in the County of San Mateo, California.

#### **ARTICLE XXXIII NOTIFICATION**

All notices hereunder and communications regarding interpretation of the terms of this contract and changes thereto, shall be effected by the mailing thereof by registered or certified mail, return receipt requested, postage prepaid, and addressed as follows:

##### **CONSULTANT:**

Gray Bowen Scott  
Matt Todd, Project Manager  
1676 North California Blvd, #400  
Walnut Creek, CA 94596

##### **LOCAL AGENCY:**

City/County Association of Governments of San Mateo County  
Jean Higaki  
555 County Center, 5<sup>th</sup> Floor  
Redwood City, CA 940643

#### **ARTICLE XXXIV CONTRACT**

The two parties to this contract, who are the before named CONSULTANT and the before named LOCAL AGENCY, hereby agree that this contract constitutes the entire agreement which is made and concluded in duplicate between the two parties. Both of these parties for and in consideration of the payments to be made, conditions mentioned, and work to be performed; each agree to diligently perform in accordance with the terms and conditions of this contract as evidenced by the signatures below.

**ARTICLE XXXV SIGNATURES**

Gray Bowen Scott

By \_\_\_\_\_

\_\_\_\_\_

Date

City/County Association of Governments of San Mateo County

By \_\_\_\_\_

\_\_\_\_\_

C/CAG Chair

Date

By \_\_\_\_\_

\_\_\_\_\_

C/CAG Legal Counsel

Date

**ATTACHMENT A**

**Consultant and Sub consultant Cost Proposals  
Formatted as shown in LAPM Exhibit 10-H**

**COST PROPOSAL**

SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)  
(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Note: Mark-ups are Not Allowed  
Consultant or Subconsultant: William R. Gray & Company, DBA Gray-Bowen-Scott  
Contract No.           TBD            
Date 6/23/17

|                     |                  |
|---------------------|------------------|
| ICR                 |                  |
| Fringe Benefits     | General Overhead |
| 0.446               | 1.180            |
| Overhead Multiplier | Fee Multiplier   |
| 2.626               | 1.1              |
| Total Multiplier    |                  |
| 2.889               |                  |

**BILLING INFORMATION**

| Name/Job Title/Classification <sup>1</sup>   | Hourly Billing Rates <sup>2</sup>            |                      | Effective date of hourly rate                        |  | Actual or Avg. hourly rate <sup>3</sup>      | % or \$ increase     | Hourly range - for classifications only |
|--|--|----------------------|--|--|--|----------------------|---|
|  | Straight                                     | OT(1.5x) OT(2x)      | From   | To   |  |                      |   |
| Matthew Todd – Gray-Bowen-Scott<br>Project Manager, Civil Engineer                               | \$260.00<br>\$268.00<br>\$276.00<br>\$284.00 | NA<br>NA<br>NA<br>NA | 01/01/2017<br>01/01/2018<br>01/01/2019<br>1/01/2020  | 12/31/2017<br>12/31/2018<br>12/31/2019<br>12/31/2020 | \$90.24<br>\$92.95<br>\$95.74<br>\$98.61     | 3.0%<br>3.0%<br>3.0% | Not Applicable                          |
| Teresa K.Q. Bowen – Gray-Bowen-Scott<br>Principal in Charge / Project Manager,<br>Civil Engineer | \$313.00<br>\$322.00<br>\$332.00<br>\$342.00 | NA<br>NA<br>NA<br>NA | 01/01/2017<br>01/01/2018<br>01/01/2019<br>01/01/2020 | 12/31/2017<br>12/31/2018<br>12/31/2019<br>12/31/2020 | \$108.44<br>\$111.69<br>\$115.04<br>\$118.50 | 3.0%<br>3.0%<br>3.0% | Not Applicable                          |
| Cheryl Nevares – Gray-Bowen-Scott<br>Project Manager   | \$233.00<br>\$239.00<br>\$247.00<br>\$254.00 | NA<br>NA<br>NA<br>NA | 01/01/2017<br>01/01/2018<br>01/01/2019<br>01/01/2020 | 12/31/2017<br>12/31/2018<br>12/31/2019<br>12/31/2020 | \$80.63<br>\$83.05<br>\$85.54<br>\$88.11     | 3.0%<br>3.0%<br>3.0% | Not Applicable                          |
| Erin Helme – Gray-Bowen-Scott<br>Project Manager   | \$178.00<br>\$183.00<br>\$188.00<br>\$193.00 | NA<br>NA<br>NA<br>NA | 01/01/2017<br>01/01/2018<br>01/01/2019<br>01/01/2020 | 12/31/2017<br>12/31/2018<br>12/31/2019<br>12/31/2020 | \$64.52<br>\$66.46<br>\$68.45<br>\$70.50     | 3.0%<br>3.0%<br>3.0% | Not Applicable                          |
| Leo Scott – Gray-Bowen-Scott<br>Project Manager, Civil Engineer                                  | \$325.00<br>\$335.00<br>\$345.00<br>\$355.00 | NA<br>NA<br>NA<br>NA | 01/01/2017<br>01/01/2018<br>01/01/2019<br>01/01/2020 | 12/31/2017<br>12/31/2018<br>12/31/2019<br>12/31/2020 | \$112.68<br>\$116.06<br>\$119.54<br>\$123.13 | 3.0%<br>3.0%<br>3.0% | Not Applicable                          |
| Erika McCulloch – Gray-Bowen-Scott<br>Administrative Support                                     | \$102.00<br>\$105.00<br>\$108.00<br>\$111.00 | NA<br>NA<br>NA<br>NA | 01/01/2017<br>01/01/2018<br>01/01/2019<br>01/01/2020 | 12/31/2017<br>12/31/2018<br>12/31/2019<br>12/31/2020 | \$35.37<br>\$36.43<br>\$37.52<br>\$38.65     | 3.0%<br>3.0%<br>3.0% | Not Applicable                          |

**CALCULATION INFORMATION**

1. Names and classifications of consultant (key staff) team members must be listed. Provide separate sheets for prime and all subconsultant firms.
2. Billing rate = actual hourly rate \* (1 + ICR) \* (1 + Fee). Agreed upon billing rates are not adjustable for the term of contract.
3. For named employees enter the actual hourly rate. For classifications only, enter the Average Hourly Rate for that classification.

NOTES:

- Denote all employees subject to prevailing wage with an asterisks (\*)
- For "Other Direct Cost" listing, see page 2 of this Exhibit

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**COST PROPOSAL  
ON-CALL CONTRACT**

ADM 2033 (Rev. 02/09)

Consultant or Subconsultant Circlepoint Contract No. TBD Date 6/23/17

Fringe Benefit % 129.37% + Overhead % 58.35% = Combined Indirect Cost Rate (ICR) % 187.72%  
 (= 0% if included in OH) FEE % = 10.00%

**BILLING INFORMATION**

| Name/Job Title/Classification <sup>1</sup> | Hourly Billing Rates <sup>2</sup> |           | Effective date of hourly rate |          | Actual or Avg. hourly rate <sup>3</sup> | % or \$ increase | Hourly range - for classifications only |
|--|-----------------------------------|-----------|-------------------------------|----------|---|------------------|---|
|  | Straight                          | OT (1.5x) | OT (2x)                       | From     |   |                  |   |
| President                                  | \$ 281.68                         |           |                               | 8/1/2017 | \$89.00                                 |                  | \$85.00 - \$95.00                       |
|  | \$ 295.76                         |           |                               | 7/1/2018 | \$93.45                                 | 5%               |   |
|  | \$ 310.54                         |           |                               | 7/1/2019 | \$98.12                                 | 5%               |   |
|  | \$ 326.08                         |           |                               | 7/1/2020 | \$103.03                                | 5%               |   |
| Senior Vice President                      | \$ 278.45                         |           |                               | 8/1/2017 | \$87.98                                 |                  | \$85.00 - \$95.00                       |
|  | \$ 292.38                         |           |                               | 7/1/2018 | \$92.38                                 | 5%               |   |
|  | \$ 307.00                         |           |                               | 7/1/2019 | \$97.00                                 | 5%               |   |
|  | \$ 322.35                         |           |                               | 7/1/2020 | \$101.85                                | 5%               |   |
| Project Director                           | \$ 200.21                         |           |                               | 8/1/2017 | \$63.26                                 |                  | \$54.00 - \$85.00                       |
|  | \$ 210.21                         |           |                               | 7/1/2018 | \$66.42                                 | 5%               |   |
|  | \$ 220.72                         |           |                               | 7/1/2019 | \$69.74                                 | 5%               |   |
|  | \$ 231.77                         |           |                               | 7/1/2020 | \$73.23                                 | 5%               |   |
| Senior Project Manager                     | \$ 164.35                         |           |                               | 8/1/2017 | \$51.93                                 |                  | \$45.00 - \$60.00                       |
|  | \$ 172.58                         |           |                               | 7/1/2018 | \$54.53                                 | 5%               |   |
|  | \$ 181.22                         |           |                               | 7/1/2019 | \$57.26                                 | 5%               |   |
|  | \$ 190.27                         |           |                               | 7/1/2020 | \$60.12                                 | 5%               |   |
| Project Manager                            | \$ 132.80                         |           |                               | 8/1/2017 | \$41.96                                 |                  | \$35.00 - \$55.00                       |
|  | \$ 139.45                         |           |                               | 7/1/2018 | \$44.06                                 | 5%               |   |
|  | \$ 146.41                         |           |                               | 7/1/2019 | \$46.26                                 | 5%               |   |
|  | \$ 153.72                         |           |                               | 7/1/2020 | \$48.57                                 | 5%               |   |
| Senior Project Associate                   | \$ 109.70                         |           |                               | 8/1/2017 | \$34.66                                 |                  | \$28.00 - \$42.00                       |
|  | \$ 115.17                         |           |                               | 7/1/2018 | \$36.39                                 | 5%               |   |
|  | \$ 120.93                         |           |                               | 7/1/2019 | \$38.21                                 | 5%               |   |
|  | \$ 126.98                         |           |                               | 7/1/2020 | \$40.12                                 | 5%               |   |
| Project Associate                          | \$ 95.61                          | \$ 110.72 |                               | 8/1/2017 | \$30.21                                 |                  | \$24.00 - \$33.00                       |
|  | \$ 100.39                         | \$ 116.25 |                               | 7/1/2018 | \$31.72                                 | 5%               |   |
|  | \$ 105.42                         | \$ 122.08 |                               | 7/1/2019 | \$33.31                                 | 5%               |   |

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**COST PROPOSAL  
ON-CALL CONTRACT**

ADM 2033 (Rev. 02/09)

Consultant or Subconsultant Circlepoint Contract No. TBD Date 6/23/17

Fringe Benefit % 187.72%  
(= 0% if Included in OH)

+ Overhead % 129.37%

+ General Administration % 58.35%

= Combined Indirect Cost Rate (ICR) % 10.00%

FEE % = 10.00%

**BILLING INFORMATION**

| Name/Job Title/Classification <sup>1</sup> | Hourly Billing Rates <sup>2</sup> |           | Effective date of hourly rate |          | Actual or Avg. hourly rate <sup>3</sup> | % or \$ increase | Hourly range - for classifications only |
|--|-----------------------------------|-----------|-------------------------------|----------|---|------------------|---|
|  | Straight                          | OT(1.5x)  | OT(2x)                        | From     |   |                  |   |
| Project Coordinator                        | \$ 110.71                         | \$ 128.20 |                               | 7/1/2020 | \$34.98                                 | 5%               | \$20.00 - \$27.00                       |
|  | \$ 75.48                          | \$ 87.41  |                               | 8/1/2017 | \$23.85                                 |                  |   |
|  | \$ 79.25                          | \$ 91.77  |                               | 7/1/2018 | \$25.04                                 | 5%               |   |
|  | \$ 83.21                          | \$ 96.36  |                               | 7/1/2019 | \$26.29                                 | 5%               |   |
|  | \$ 87.35                          | \$ 101.15 |                               | 7/1/2020 | \$27.60                                 | 5%               |   |
| Intern                                     | \$ 61.72                          | \$ 71.47  |                               | 8/1/2017 | \$19.50                                 |                  | \$15.00 - \$22.00                       |
|  | \$ 64.82                          | \$ 75.06  |                               | 7/1/2018 | \$20.48                                 | 5%               |   |
|  | \$ 68.05                          | \$ 78.80  |                               | 7/1/2019 | \$21.50                                 | 5%               |   |
|  | \$ 71.46                          | \$ 82.75  |                               | 7/1/2020 | \$22.58                                 | 5%               |   |
| Creative Services Director                 | \$ 200.21                         |           |                               | 8/1/2017 | \$63.26                                 |                  | \$50.00 - \$69.00                       |
|  | \$ 210.21                         |           |                               | 7/1/2018 | \$66.42                                 | 5%               |   |
|  | \$ 220.72                         |           |                               | 7/1/2019 | \$69.74                                 | 5%               |   |
|  | \$ 231.77                         |           |                               | 7/1/2020 | \$73.23                                 | 5%               |   |
| Senior Art Director                        | \$ 167.74                         |           |                               | 8/1/2017 | \$53.00                                 |                  | \$45.00 - \$57.00                       |
|  | \$ 176.13                         |           |                               | 7/1/2018 | \$55.65                                 | 5%               |   |
|  | \$ 184.93                         |           |                               | 7/1/2019 | \$58.43                                 | 5%               |   |
|  | \$ 194.17                         |           |                               | 7/1/2020 | \$61.35                                 | 5%               |   |
| Art Director                               | \$ 145.46                         |           |                               | 8/1/2017 | \$45.96                                 |                  | \$40.00 - \$50.00                       |
|  | \$ 152.74                         |           |                               | 7/1/2018 | \$48.26                                 | 5%               |   |
|  | \$ 160.37                         |           |                               | 7/1/2019 | \$50.67                                 | 5%               |   |
|  | \$ 168.37                         |           |                               | 7/1/2020 | \$53.20                                 | 5%               |   |
| Senior Web Designer                        | \$ 117.86                         | \$ 136.48 |                               | 8/1/2017 | \$37.24                                 |                  | \$33.00 - \$45.00                       |
|  | \$ 123.75                         | \$ 143.30 |                               | 7/1/2018 | \$39.10                                 | 5%               |   |
|  | \$ 129.95                         | \$ 150.48 |                               | 7/1/2019 | \$41.06                                 | 5%               |   |
|  | \$ 136.44                         | \$ 158.00 |                               | 7/1/2020 | \$43.11                                 | 5%               |   |
| Senior Graphic Designer                    | \$ 106.53                         | \$ 123.36 |                               | 8/1/2017 | \$33.66                                 |                  | \$30.00 - \$40.00                       |
|  | \$ 111.85                         | \$ 129.52 |                               | 7/1/2018 | \$35.34                                 | 5%               |   |

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**COST PROPOSAL  
ON-CALL CONTRACT**

ADM 2033 (Rev. 02/09)

Consultant or Subconsultant Circlepoint Contract No. TBD Date 6/23/17

Fringe Benefit % 0% + Overhead % 129.37% + General Administration % 58.35% = Combined Indirect Cost Rate (ICR) % 187.72%  
 (= 0% if Included in OH) FEE % = 10.00%

**BILLING INFORMATION**

| Name/Job Title/Classification <sup>1</sup> | Hourly Billing Rates <sup>2</sup> |           | OT(2x) | Effective date of hourly rate |           | Actual or Avg. hourly rate <sup>3</sup> | % or \$ increase | Hourly range - for classifications only |
|--|-----------------------------------|-----------|--------|-------------------------------|-----------|---|------------------|---|
|  | Straight                          | OT(1.5x)  |        | From                          | To        |   |                  |   |
| Web Designer                               | \$ 117.45                         | \$ 136.01 |        | 7/1/2019                      | 6/30/2020 | \$37.11                                 | 5%               |   |
|  | \$ 123.34                         | \$ 142.83 |        | 7/1/2020                      | 6/30/2021 | \$38.97                                 | 5%               |   |
|  | \$ 85.45                          | \$ 98.95  |        | 8/1/2017                      | 6/30/2018 | \$27.00                                 |                  | \$22.00 - \$35.00                       |
|  | \$ 89.73                          | \$ 103.91 |        | 7/1/2018                      | 6/30/2019 | \$28.35                                 | 5%               |   |
|  | \$ 94.22                          | \$ 109.11 |        | 7/1/2019                      | 6/30/2020 | \$29.77                                 | 5%               |   |
|  | \$ 98.94                          | \$ 114.57 |        | 7/1/2020                      | 6/30/2021 | \$31.26                                 | 5%               |   |
| Graphic Designer                           | \$ 104.44                         | \$ 120.94 |        | 8/1/2017                      | 6/30/2018 | \$33.00                                 |                  | \$20.00 - \$35.00                       |
|  | \$ 109.66                         | \$ 126.99 |        | 7/1/2018                      | 6/30/2019 | \$34.65                                 | 5%               |   |
|  | \$ 115.14                         | \$ 133.33 |        | 7/1/2019                      | 6/30/2020 | \$36.38                                 | 5%               |   |
|  | \$ 120.90                         | \$ 140.00 |        | 7/1/2020                      | 6/30/2021 | \$38.20                                 | 5%               |   |
| Junior Web/Graphic Designer                | \$ 63.30                          | \$ 73.30  |        | 8/1/2017                      | 6/30/2018 | \$20.00                                 |                  | \$18.00 - \$23.00                       |
|  | \$ 66.46                          | \$ 76.96  |        | 7/1/2018                      | 6/30/2019 | \$21.00                                 | 5%               |   |
|  | \$ 69.79                          | \$ 80.82  |        | 7/1/2019                      | 6/30/2020 | \$22.05                                 | 5%               |   |
|  | \$ 73.27                          | \$ 84.85  |        | 7/1/2020                      | 6/30/2021 | \$23.15                                 | 5%               |   |
| Admin                                      | \$ 88.02                          | \$ 101.93 |        | 8/1/2017                      | 6/30/2018 | \$27.81                                 |                  | \$20.00 - \$33.00                       |
|  | \$ 92.42                          | \$ 107.02 |        | 7/1/2018                      | 6/30/2019 | \$29.20                                 | 5%               |   |
|  | \$ 97.04                          | \$ 112.37 |        | 7/1/2019                      | 6/30/2020 | \$30.66                                 | 5%               |   |
|  | \$ 101.88                         | \$ 117.98 |        | 7/1/2020                      | 6/30/2021 | \$32.19                                 | 5%               |   |

**CALCULATION INFORMATION**

- Caltrans Contract Manager's pre-approval is required for any addition of staff not listed on the cost proposal. The billing rates for these employees, will be calculated and reimbursed based on their actual hourly rate on 06/24/16 for all employees. Future escalations, if any, will be calculated and reimbursed in accordance with the percentage escalations agreed to in this cost proposal.
- Hourly rates for new employees hired after the date of this cost proposal will not exceed (or shall be in line with) the rates of similar personnel listed on this cost proposal having similar experience.
- The annual salary escalation rates are subject to post award audit. The escalation rates in the cost proposal are the maximums. The allowed annual salary escalation is either the historical annual salary escalation given by the Firm as audited by the State or the listed rates above, whichever is lower.
- NC denotes no charge. No charges shall be invoiced for work performed on weekends and holidays in excess of a 40-hour work week for salaried staff.
- No charge for all non-project admin support work, including corrections or training for subconsultant invoices and progress reports.
- The following overhead rate is utilized in preparing this cost proposal:



**COST PROPOSAL  
ON-CALL CONTRACT**

ADM 2033 (Rev. 02/09)

Consultant or Subconsultant \_\_\_\_\_ Circlepoint \_\_\_\_\_ Contract No. TBD Date 6/23/17

Fringe Benefit %                      +                      Overhead %                      +                      General Administration %                      =                      Combined Indirect Cost Rate (ICR) %  
 (= 0% if included in OH)                      129.37%                      58.35%                      187.72%

FEE % = 10.00%

**BILLING INFORMATION**

| Name/Job Title/Classification <sup>1</sup> | Hourly Billing Rates <sup>2</sup> |          | Effective date of hourly rate |      | Actual or Avg. hourly rate <sup>3</sup> | % or \$ increase | Hourly range - for classifications only |
|--|-----------------------------------|----------|-------------------------------|------|---|------------------|---|
|  | Straight                          | OT(1.5x) | OT(2x)                        | From |   |                  |   |

**CALCULATION INFORMATION**

- a. Audited Overhead for FY ending December 31, 2015.
7. No charge will be invoiced for per diem or travel time cost related to staff relocation.
8. The Consultant employee's headquarters and/or primary residence is defined in the Caltrans Travel Guide as established in each Task Order. Travel Time Charges shall be as defined in the Caltrans Travel Guide.
9. Timesheets shall be signed by the employee and approved by the supervisor or their representative and submitted with the invoices.

**COST PROPOSAL  
ON-CALL CONTRACT**

ADM 2033 (Rev. 02/09)

Consultant or Subconsultant Circlepoint

Contract No. TBD

Date 6/23/2017

| PRIME CONSULTANT       |      |           |       | SUBCONSULTANT #1                  |      |                   |       | SUBCONSULTANT #2            |      |           |       |
|------------------------|------|-----------|-------|-----------------------------------|------|-------------------|-------|-----------------------------|------|-----------|-------|
| DESCRIPTION OF ITEMS   | UNIT | UNIT COST | TOTAL | DESCRIPTION OF ITEMS              | UNIT | UNIT COST         | TOTAL | DESCRIPTION OF ITEMS        | UNIT | UNIT COST | TOTAL |
| Special Tooling        |      |           |       | Special Tooling                   |      |                   |       | Special Tooling             |      |           |       |
| A.                     |      |           |       | A.                                |      |                   |       | A.                          |      |           |       |
| B.                     |      |           |       | B.                                |      |                   |       | B.                          |      |           |       |
| C.                     |      |           |       | C.                                |      |                   |       | C.                          |      |           |       |
| Travel                 |      |           |       | Travel                            | 1    | at cost           |       | Travel                      |      |           |       |
| A.                     |      |           |       | A. Mileage                        | 1    | IRS Standard Rate |       | A.                          |      |           |       |
| B.                     |      |           |       | Miscellaneous                     |      |                   |       |                             |      |           |       |
| C.                     |      |           |       | A. B/W Copies                     | 1    | \$0.25            |       | B.                          |      |           |       |
|                        |      |           |       | B. Color Copies                   | 1    | \$1.75            |       | C.                          |      |           |       |
|                        |      |           |       | C. Faxes (per page)               | 1    | \$0.60            |       |                             |      |           |       |
|                        |      |           |       | D. Phone/Postage                  | 1    | at cost           |       |                             |      |           |       |
|                        |      |           |       | E. Online Surveys                 | 1    | \$20.00           |       |                             |      |           |       |
|                        |      |           |       | F. Eblasts                        | 1    | \$14.00           |       |                             |      |           |       |
|                        |      |           |       | F. Web Hosting (per year)         | 1    | \$300.00          |       |                             |      |           |       |
|                        |      |           |       | G. Domain Hosting (per year)      | 1    | \$15.00           |       |                             |      |           |       |
|                        |      |           |       | H. Vendor/ Subconsultant Services | 1    | at cost           |       |                             |      |           |       |
|                        |      |           |       | I. AV/Equipment Rental            | 1    | at cost           |       |                             |      |           |       |
|                        |      |           |       | J. Project Email Account          | 1    | at cost           |       |                             |      |           |       |
| PRIME TOTAL ODCs = \$0 |      |           |       | SUBCONSULTANT #1 ODCs = \$0       |      |                   |       | SUBCONSULTANT #2 ODCs = \$0 |      |           |       |

**IMPORTANT NOTES:**

- List direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentations.
- Proposed items should be consistently billed directly to all clients (Commercial entities, Federal Govt., and Local Govt. Agency), and not just when the client will pay for them as a direct cost.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is their standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.

EXHIBIT 10-H SAMPLE COST PROPOSAL (EXAMPLE #2)

Specific Rate of Compensation (use for on-call or as-needed contracts)  
(Construction Engineering and Inspection Contracts)

Consultant or Subconsultant TJKM Transportation Consultants Contract No. \_\_\_\_\_ Date 6/20/2017

Fringe Benefit % 56% + Overhead % 100.34% + General Administration % 0.00% = Combined Indirect Cost Rate (ICR) % 156.71%

FEE % = 10%

BILLING INFORMATION

CALCULATION INFORMATION

| Name/Job Title/Classification <sup>1</sup>           | Hourly Billing Rates <sup>2</sup> |          |        | Effective date of hourly rate |           | Actual or Avg. hourly rate <sup>3</sup> | % or \$ increase | Hourly range - for classifications only |
|--|-----------------------------------|----------|--------|-------------------------------|-----------|---|------------------|---|
|  | Straight                          | OT(1.5x) | OT(2x) | From                          | To        |   |                  |   |
| Nayan Amin/Traffic Operations Task Lead/President    | \$220.00                          | N/A      | N/A    | 8/1/2017                      | 7/31/2018 | \$77.91                                 |                  |   |
|  | \$226.60                          | N/A      | N/A    | 8/1/2018                      | 7/31/2019 | \$80.25                                 | 3.00%            |   |
|  | \$233.40                          | N/A      | N/A    | 8/1/2019                      | 7/31/2020 | \$82.65                                 | 3.00%            |   |
|  | \$240.40                          | N/A      | N/A    | 8/1/2020                      | 7/31/2021 | \$85.13                                 | 3.00%            | Not Applicable                          |
| Atul Patel/Design Task Lead/Director of ITS & Design | \$216.22                          | N/A      | N/A    | 8/1/2017                      | 7/31/2018 | \$76.57                                 |                  | -                                       |
|  | \$222.71                          | N/A      | N/A    | 8/1/2018                      | 7/31/2019 | \$78.87                                 | 3.00%            |   |
|  | \$229.39                          | N/A      | N/A    | 8/1/2019                      | 7/31/2020 | \$81.23                                 | 3.00%            |   |
|  | \$236.27                          | N/A      | N/A    | 8/1/2020                      | 7/31/2021 | \$83.67                                 | 3.00%            | Not Applicable                          |
| Ruta Jariwala/Project Engineer/Principal             | \$220.00                          | N/A      | N/A    | 8/1/2017                      | 7/31/2018 | \$77.91                                 |                  | -                                       |
|  | \$226.60                          | N/A      | N/A    | 8/1/2018                      | 7/31/2019 | \$80.25                                 | 3.00%            |   |
|  | \$233.40                          | N/A      | N/A    | 8/1/2019                      | 7/31/2020 | \$82.65                                 | 3.00%            |   |
|  | \$240.40                          | N/A      | N/A    | 8/1/2020                      | 7/31/2021 | \$85.13                                 | 3.00%            | Not Applicable                          |
| Rutvij Patel/Project Engineer/Project Manager        | \$129.08                          | N/A      | N/A    | 8/1/2017                      | 7/31/2018 | \$45.71                                 |                  | -                                       |
|  | \$132.95                          | N/A      | N/A    | 8/1/2018                      | 7/31/2019 | \$47.08                                 | 3.00%            |   |
|  | \$136.94                          | N/A      | N/A    | 8/1/2019                      | 7/31/2020 | \$48.49                                 | 3.00%            |   |
|  | \$141.05                          | N/A      | N/A    | 8/1/2020                      | 7/31/2021 | \$49.95                                 | 3.00%            | Not Applicable                          |
|  |                                   |          |        |                               |           |   |                  |   |
|  |                                   |          |        |                               |           |   |                  |   |

- Names and classifications of consultant (key staff) team members must be listed. Provide separate sheets for prime and all subconsultant firms.
- Billing rate = actual hourly rate \* (1+ ICR) \* (1+ Fee). Agreed upon billing rates are not adjustable for the term of contract.
- For named employees enter the actual hourly rate. For classifications only, enter the average hourly rate for that classification.

Note:

- Denote all employees subject to prevailing wage with an asterisks (\*)
- For "Other Direct Cost" listing, see page 2 of this Exhibit

Exhibit 10-H Sample Cost Proposal (Example #2)

Specific Rate of Compensation (use for on-call or as-needed contracts)  
(Construction Engineering and Inspection Contracts)

Contractor or Subcontractant \_\_\_\_\_ Contract No. \_\_\_\_\_ Date \_\_\_\_\_

| PRIME CONSULTANT     |      |           |        | SUBCONSULTANT #1        |      |           |        | SUBCONSULTANT #2        |      |           |        |
|----------------------|------|-----------|--------|-------------------------|------|-----------|--------|-------------------------|------|-----------|--------|
| DESCRIPTION OF ITEMS | UNIT | UNIT COST | TOTAL  | DESCRIPTION OF ITEMS    | UNIT | UNIT COST | TOTAL  | DESCRIPTION OF ITEMS    | UNIT | UNIT COST | TOTAL  |
| Special Tooling      |      |           |        | Special Tooling         |      |           |        | Special Tooling         |      |           |        |
| A.                   |      |           |        | A. Plotting             | TBD  | \$18.00   |        | A.                      |      |           |        |
| B.                   |      |           |        | B.                      |      |           |        | B.                      |      |           |        |
| C.                   |      |           |        | C.                      |      |           |        | C.                      |      |           |        |
| Travel               |      |           |        | Travel                  |      |           |        | Travel                  |      |           |        |
| A.                   |      |           |        | A. Mileage              | TBD  | \$0.53    |        | A.                      |      |           |        |
| B.                   |      |           |        | B.                      |      |           |        | B.                      |      |           |        |
| C.                   |      |           |        | C.                      |      |           |        | C.                      |      |           |        |
| PRIME TOTAL ODCs =   |      |           | \$0.00 | SUBCONSULTANT #1 ODCs = |      |           | \$0.00 | SUBCONSULTANT #2 ODCs = |      |           | \$0.00 |

IMPORTANT NOTES

- List direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentations.
- Proposed items should be consistently billed directly to all clients (Commercial entities, Federal Govt., State Govt., and Local Govt. Agency), and not just when the client will pay for them as a direct cost.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency. The rates should not exceed the State Department of Personnel Administration (DPA) requirements.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is their standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.

**CITY/COUNTY ASSOCIATION OF GOVERNMENTS OF SAN MATEO COUNTY (C/CAG) | ON-CALL SERVICES for PROJECT COORDINATION and GRANT ADMINISTRATION (sub to Gray-Bowen-Scott)** Page 1 of 2

**EXHIBIT 10-H COST PROPOSAL | SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)**

(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant or Subconsultant: Vali Cooper & Associates, Inc. Federal No(s): TBD Date: 06/21/2017  
 Contract No.: TBD

Fringe Benefit % NA + Overhead % 145.6000% + General Administration % NA = Combined Indirect Cost Rate (ICR) % 145.6000%

FEE % = 10.0%

Escalation % = 3.0%

**BILLING INFORMATION**

| Name/Job Title/Classification <sup>1</sup> | Hourly Billing Rates <sup>2</sup>                |   | Effective date of hourly rate<br>From To             | Actual or Avg.<br>hourly rate <sup>3</sup> | % or \$<br>increase  | Hourly range -<br>for classifications only |
|--|--|---|--|--|----------------------|--|
|  | Straight   | OT (1.5x) OT (2x)                       |  |  |                      |  |
| Connie Fremier, PE<br>Project Manager      | \$ 237.42<br>\$ 244.54<br>\$ 251.88<br>\$ 259.44 | \$ 237.42 \$ 244.54 \$ 251.88 \$ 259.44 | 06/05/2017<br>01/01/2018<br>01/01/2019<br>01/01/2020 | \$ 87.88                                   | 3.0%<br>3.0%<br>3.0% | Not Applicable                             |
| exempt non-prevailing wage                 |  |   |  |  |                      |  |
| Russell Moore, PE<br>Project Manager       | \$ 205.32<br>\$ 211.48<br>\$ 217.82<br>\$ 224.35 | \$ 205.32 \$ 211.48 \$ 217.82 \$ 224.35 | 06/05/2017<br>01/01/2018<br>01/01/2019<br>01/01/2020 | \$ 76.00                                   | 3.0%<br>3.0%<br>3.0% | Not Applicable                             |
| exempt non-prevailing wage                 |  |   |  |  |                      |  |

**CALCULATION INFORMATION**

- Names and classifications of consultant (key staff) team members must be listed. Provide separate sheets for prime and all subconsultant firms.
- Billing rate = actual hourly rate \* (1+ ICR) \* (1+ Fee). Agreed upon billing rates are not adjustable for the term of the contract.
- For named employees enter the actual hourly rate. For classifications only, enter the average hourly rate for that classification.

**NOTES:**

- Denote all employees subject to prevailing wage with an asterisks (\*)
- For "Other Direct Cost" listing, see page 2 of this Exhibit

CITY/COUNTY ASSOCIATION OF GOVERNMENTS OF SAN MATEO COUNTY (C/CAG) | ON-CALL SERVICES for PROJECT COORDINATION and GRANT ADMINISTRATION (sub to Gray-Bowen-Scott)

EXHIBIT 10-H COST PROPOSAL | SPECIFIC RATE OF COMPENSATION (USE FOR ON-CALL OR AS-NEEDED CONTRACTS)

(CONSTRUCTION ENGINEERING AND INSPECTION CONTRACTS)

Consultant/Subconsultant: Vali Cooper & Associates, Inc. Federal No(s): TBD Date: 06/21/2017  
 Contract No.: TBD

| SCHEDULE OF OTHER DIRECT COST ITEMS |      |           |                                |                                |      |           |       |                      |      |           |       |
|-------------------------------------|------|-----------|--------------------------------|--------------------------------|------|-----------|-------|----------------------|------|-----------|-------|
| Gray-Bowen-Scott                    |      |           | Vali Cooper & Associates, Inc. |                                |      |           |       |                      |      |           |       |
| DESCRIPTION OF ITEMS                | UNIT | UNIT COST | TOTAL                          | DESCRIPTION OF ITEMS           | UNIT | UNIT COST | TOTAL | DESCRIPTION OF ITEMS | UNIT | UNIT COST | TOTAL |
| Special Tooling                     |      |           |                                |                                |      |           |       |                      |      |           |       |
| A.                                  |      |           |                                | A.                             |      |           |       | A.                   |      |           |       |
| B.                                  |      |           |                                | B.                             |      |           |       | B.                   |      |           |       |
| C.                                  |      |           |                                | C.                             |      |           |       | C.                   |      |           |       |
| Travel                              |      |           |                                |                                |      |           |       |                      |      |           |       |
| A.                                  |      |           |                                | A. Company Vehicles            | mo   | N/C       |       | A.                   |      |           |       |
| B.                                  |      |           |                                | B. Personal Vehicles (mileage) | mile | IRS Rate  |       | B.                   |      |           |       |
| C.                                  |      |           |                                | C.                             |      |           |       | C.                   |      |           |       |
| PRIME TOTAL ODCs = \$ -             |      |           |                                |                                |      |           |       |                      |      |           |       |
| SUBCONSULTANT ODCs = \$ -           |      |           |                                |                                |      |           |       |                      |      |           |       |

IMPORTANT NOTES:

- List direct cost items with estimated costs. These costs should be competitive in their respective industries and supported with appropriate documentations.
- Proposed items should be consistently billed directly to all clients (Commercial entities; Federal Govt, State Govt, and Local Govt. Agency), and not just when the client will pay for them as a direct cost.
- Items when incurred for the same purpose, in like circumstance, should not be included in any indirect cost pool or in the overhead rate.
- Items such as special tooling, will be reimbursed at actual cost with supporting documentation (invoice).
- Items listed above that would be considered "tools of the trade" are not reimbursable as other direct cost.
- Travel related costs should be pre-approved by the contracting agency.
- If mileage is claimed, the rate should be properly supported by the consultant's calculation of their actual costs for company vehicles. In addition, the miles claimed should be supported by mileage logs.
- If a consultant proposes rental costs for a vehicle, the company must demonstrate that this is their standard procedure for all of their contracts and that they do not own any vehicles that could be used for the same purpose.

## **ATTACHMENT B**

### **C/CAG ON CALL FEDERAL AID AND STATE RELATED PROJECT COORDINATION SERVICES**

#### **SCOPE OF WORK**

##### **BACKGROUND**

Approximately every six years, U.S. Congress enacts a surface transportation act. The current act Fixing America's Surface Transportation (FAST) Act signed on December 4, 2015 is in effect through FFY 2020. The funding provided to our area through this legislation includes Surface Transportation Program (STP) and Congestion Mitigation and Air Quality (CMAQ) funds.

The Metropolitan Transportation Commission (MTC) adopts a framework directing how STP and CMAQ funds are to be allocated over four year cycles. This framework integrates the Region's federal transportation program with land-use and housing policies by providing incentives for the production of housing with supportive transportation investments.

The State Transportation Improvement Program (STIP) is the biennial five-year plan adopted by the California Transportation Commission (CTC) for certain state transportation funds for state highway improvements, intercity rail, and regional highway and transit improvements. The STIP is updated biennially, in even-numbered years, with each new STIP adding two new years to prior programming commitments.

C/CAG, acting as the county congestion management agency (CMAs) is responsible for the project selection and programming of the County's share of federal funding coming from the Region. C/CAG is also responsible for coordinating and programming the county's share of the STIP. Programming policies adopted MTC and CTC require elements of public involvement as well as project evaluations requirements. Programming efforts must follow the requirements (e.g. minimum grant size, minimum eligibility requirement, air quality conformity, RTP consistency), adopted by MTC and or CTC for each cycle of programming.

C/CAG is also responsible for the overall delivery of the transportation program funded by Federal and State programs and must take corrective action when and where project are at risk of not delivering within the regional and state deadlines.

The expected role of the on call federal aid related coordinator is to act a staff extension and program manager on an as needed basis.



## **PROPOSED SCOPE OF WORK**

Expected Project Coordination Services include but are not limited to:

### Program and Project Delivery

- Develop, monitor, and control the program and project, schedule, cost/ budget, and risk.
- Develop a communications plan, to coordinate the review and monitoring of the assigned program.
- Inform project sponsors involved of anticipated delays in deliveries, and initiate recovery plans to mitigate the effects of the delays.
- Make recommendations to project sponsors relative to the project, in terms of corrective action plans and required level of expediting to keep the project on track.
- Assist project sponsors with project delivery where and when appropriate.
- Work with Caltrans Local Assistance, MTC, CTC, FHWA, local jurisdictions, and/or other agencies to facilitate expedited processes and/ or maintain program schedule and milestones deliverables.
- Coordinate required delivery dates of programmed projects, identify potential program risk, and initiate corrective action.
- Ensure sponsor work activities and projects are in compliance with federal, state, and regional policies and procedures.
- Provide regular (e.g. weekly / monthly as applicable) verbal/ written program performance and project status updates to the Transportation Systems Coordinator or designee.
- Provide project status updates to Metropolitan Transportation Commission upon request.
- Organize, coordinate, attend, and represent C/CAG at coordination meetings, stakeholder meetings, project development team (PDT) meetings, and any other project meetings initiated to facilitate a sponsor's project progress.
- Monitor invoice status of obligated projects and inform project sponsors involved of anticipated invoicing deadlines. Ensure invoices are submitted and processed by or before specified deadlines.
- Other related tasks as requested.

### Programming/ Planning

- Organize, coordinate, and represent C/CAG at outreach events regarding implementation of the program.
- Organize, coordinate, and represent C/CAG at workshops during program development in compliance with federal Title VI requirements.
- Prepare meeting/ workshop materials, minutes, and agendas.
- Develop a communications plan to disseminate program guidelines and coordinate the project selection process with the programming process.
- Project planning, programming and monitoring activities related to development of the Regional Transportation Improvement Program and the STIP required by Government code Section 14527, et. Seq. and for the monitoring of project implementation for projects approved in these documents.
- Conduct planning and prepare appropriate plan(s) related to the development of TIP and

STIP.

- Monitor the programming process to ensure completion of programming documentation of projects to comply with the regional and state requirements as specified in the current program cycle.
- Coordinate and reconcile comments with the stakeholder and technical teams during the programming process.
- Assist and coordinate the solicitation of projects as necessary and as directed by the Transportation Systems Coordinator or other appropriate C/CAG staff.
- Expedite, facilitate, and/or coordinating the flow of project data between the project sponsor and the programming entity (MTC).
- Assist in the preparation of applications for federal, state, regional, and local project funds.
- Assist in the development of the Transportation Improvement Program/State Transportation Improvement Program (TIP/STIP) and the Regional Transportation Plan (RTP)/ Sustainable Communities Strategy (SCS) through countywide planning efforts
- Provide management and planning and/or outreach support for the development of county level transportation plans, corridor studies, funding programs, and related planning activities.
- Other related tasks as requested.