

# C/CAG

## CITY/COUNTY ASSOCIATION OF GOVERNMENTS OF SAN MATEO COUNTY

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Millbrae • Pacifica • Portola Valley • Redwood City • San Bruno • San Carlos • San Mateo • San Mateo County • South San Francisco • Woodside*

**1:15 p.m., Thursday, August 15, 2019**  
**San Mateo County Transit District Office<sup>1</sup>**  
**1250 San Carlos Avenue, 2<sup>nd</sup> Floor Auditorium**  
**San Carlos, California**

### TECHNICAL ADVISORY COMMITTEE (TAC) AGENDA

- |  |               |              |
|--|---------------|--------------|
| 1. Public comment on items not on the Agenda (presentations are customarily limited to 3 minutes).   | Porter/Hurley | No materials |
| 2. Issues from the last C/CAG Board meeting (July 2019):   | Hoang         | No materials |
| - Approved – Membership to the Countywide Integrated Waste Management Plan Review Ad-hoc Committee   |               |              |
| - Approved – Agreement w/ JVSU to support the Silicon Valley Index for joint efforts in climate and mobility coordination for FYs 19/20 & 20/21 in the amount of \$75,000  |               |              |
| 3. Approval of the minutes from June 20, 2019  | Hoang         | Page 1-3     |
| 4. Receive a presentation on the proposed US 101 Deck Replacement at Alemany Circle (Information)  | Caltrans      | Page 4-6     |
| 5. Review and recommend formation of a subgroup to provide input to further refine the Draft Data Request Form to track the success of performance measures developed in the San Mateo Countywide Transportation Plan 2040 (SMCTP 2040) (Action) | Wever         | Page 7-13    |
| 6. Review and recommend approval of the Draft 2020 State Transportation Improvement Program (STIP) for San Mateo County (Action)   | Lacap         | Page 14-16   |
| 7. Regional Project and Funding Information (Information)  | Lacap         | Page 17-27   |
| 8. Executive Director Report   | Wong          | No materials |
| 9. Member Reports  | All           |              |

**PUBLIC NOTICING:** All notices of C/CAG regular Board meetings, standing committee meetings, and special meetings will be posted at the San Mateo County Transit District Office, 1250 San Carlos Ave., San Carlos, CA, and on C/CAG's website at: <http://www.ccag.ca.gov>.

**PUBLIC RECORDS:** Public records that relate to any item on the open session agenda for a regular Board meeting, standing committee meeting, or special meeting are available for public inspection. Those public records that are distributed less than 72 hours prior to a regular Board meeting are available for public inspection at the same time they are distributed to all members, or a majority of the members, of the Board. The Board has designated the City/County Association of Governments of San Mateo County (C/CAG), located at 555 County Center, 5th Floor, Redwood City, CA 94063, for the purpose of making public records available for inspection. Such public records are also available on C/CAG's website at: <http://www.ccag.ca.gov>.

**PUBLIC PARTICIPATION:** Public comment is limited to two minutes per speaker. Persons with disabilities who require auxiliary aids or services in attending and participating in this meeting should contact Mima Guilles at (650) 599-1406, five working days prior to the meeting date.

*If you have any questions about this agenda, please contact C/CAG staff: John Hoang (650) 363-4105*

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<sup>1</sup> For public transit access use SamTrans Bus lines 260, 295, 390, 391, KX or take CalTrain to the San Carlos Station and walk two blocks up San Carlos Avenue. Driving directions: From Route 101 take the Holly Street (west) exit. Two blocks past El Camino Real go left on Walnut. The entrance to the parking lot is at the end of the block on the left, immediately before the ramp that goes under the building. Enter the parking lot by driving between the buildings and making a left into the elevated lot. Follow the signs up to the levels for public parking.

Persons with disabilities who require auxiliary aids or services in attending and participating in this meeting should contact Mima Guilles at 650 599-1406, five working days prior to the meeting date.

2019 TAC Roster and Attendance							
No.	Member	Agency	Feb	Mar	Apr	May	Jun
1	Jim Porter (Co-Chair)	San Mateo County Engineering				x	x
2	Joseph Hurley (Co-Chair)	SMCTA / PCJPB / Caltrain	x	x	x	x	x
3	Robert Ovadia	Atherton Engineering		x	x	x	x
4	Afshin Oskoui	Belmont Engineering	x	x	x	x	x
5	Randy Breault	Brisbane Engineering			x	x	x
6	Syed Murtuza	Burlingame Engineering	x	x	x	x	x
7	Sandy Wong	C/CAG		x	x	x	x
8	Brad Donohue	Colma Engineering	x		x		
9	Richard Chiu	Daly City Engineering	x	x	x	x	x
10	Tatum Mothershead	Daly City Planning	x	x	x	x	x
11	Norm Dorais	Foster City Engineering				x	x
12	Paul Willis	Hillsborough Engineering	x	x	x	x	x
13	Maz Bozorginia	Half Moon Bay Engineering				x	x
14	Justin Murphy	Menlo Park Engineering		x	x	x	x
15	Khee Lim	Millbrae Engineering			x		
16	Sam Bautista	Pacifica Engineering		x	x		x
17	Jessica Manzi	Redwood City Engineering	x	x	x	x	x
18	Jimmy Tan	San Bruno Engineering	x		x		x
19	Steven Machida	San Carlos Engineering	x	x	x		x
20	Brad Underwood	San Mateo Engineering	x		x	x	x
21	Eunejune Kim	South San Francisco Engineering	x	x	x		x
22	Billy Gross	South San Francisco Planning	x	x	x	x	x
23	Sean Rose	Woodside Engineering	x	x		x	x
24	James Choe	MTC	n/a	n/a	x	x	x

# CONGESTION MANAGEMENT PROGRAM (CMP) TECHNICAL ADVISORY COMMITTEE (TAC)

June 20, 2019  
MINUTES

The two hundred fifty-third (253rd) meeting of the Technical Advisory Committee (TAC) was held in the SamTrans Offices located at 1250 San Carlos Avenue, 2<sup>nd</sup> Floor Auditorium, San Carlos, CA. Vice-Chair Hurley called the meeting to order on Thursday, June 20, 2019 at 1:15 p.m.

TAC members attending the meeting are listed on the Roster and Attendance on the preceding page. Others attending the meeting were: Dave Bishop – Colma, Dave Bockhaus – SSF; Jean Higaki, John Hoang, Susy Kalkin, Jeff Lacap, Van Ocampo, Mikaela - C/CAG; Daniel Rubins, Dan – F&P; Drew – public member; and other attendees not signed in.

**1. Public comment on items not on the agenda.**

None.

**2. Issues from the last C/CAG Board meeting.**

None.

**3. Approval of the Minutes from May 16, 2019.**

Moved by Member Breault, Seconded by Member Murtuza.

**4. Receive a presentation on the US 101 Mobility Action Plan (Information)**

Susy Kalkin presented on the US 101 MAP project which is a joint project along with SamTrans, Santa Clara, VTA, MTC, and SFCTA to explore strategies to increase vehicle occupancy rates and reduce congestion along the corridor. Comments were as follows:

- Trips differences between the counties should be correlated and the project should consider the whole corridor.
- Consider having outreach, surveys, and a website and also have a solution to address health concerns.
- Consider origin/destination study as well as east-west impacts and distance travelled. Also look at it holistically and include short trips, local trips and impacts to local city streets.
- Need to determine existing baseline. Cities may have relevant data.

**5. Review information on the upcoming Request for Projects for the Draft 2020 State Transportation improvement Program (STIP) for San Mateo county (Information)**

Jeff Lacap presented the draft 2020 STIP projects for FY2019/20 – 2023/24 along with a schedule for development, review, and endorsement of the final list, which is planned to be submitted to MTC on October 11, 2019. Comments were as follows:

- Request to develop a mechanism to change or amend the STIP project list to incorporate non-traditional projects that are not typically listed in STIP. (C/CAG will bring back historical information to the TAC at a future meeting.
- Consider not tying between housing and transportation

## **6. Receive a presentation on the implementation of SB 743 – LOS to VMT Transition (Information)**

Jeff Lacap introduced the item. Consultant Daniel Rubins from Fehr & Peers presented on the VMT decisions local agencies will need to consider in order to align measurement of transportation impacts with sustainability goals including methodology, thresholds, mitigation and involvement in the process. Regarding development of a tool, there are different levels of involvement including Level 1 (act as a Curator), Level 2 (developing a Screening Tool), Level 3 (developing a screening tool) and Level 4 (developing a VMT Evaluator). Comments were as follows:

- Provide copy of presentation.
- Look at costs for implementing different levels (minimum Level 3 and possible upgrade to Level 4) and provide the TAC cost information at a future TAC meeting. Cities can consider contributing funds to offset the cost.
- It is more cost-effective to work through C/CAG on a regional basis rather than each city going in on their own. Each city can provide input to C/CAG.
- Consider GIS interface, modularity, and scalability when developing the tool.

## **7. Regional Project and Funding Information**

Jeff Lacap presented on the items, as shown in the staff report including FHWA Policy for Inactive Projects, PMP Certification status, and miscellaneous federal aid related announcements.

## **8. Executive Director Report**

Sandy Wong, C/CAG Executive Director, reported the C/CAG Board appointed five members to the new San Mateo County Flood and Sea Level Rise Resiliency District. The County BOS will be appointing three members at its June 25, 2019 meeting. This group will be referred to as a committee while waiting for legislation to be signed to officially be designated as a Board.

Vice-Chair Porter added that the new agency will be looking for a general manager. The agency will most likely not be a PERS agency.

Sandy Wong introduced new C/CAG staff, Mikaela Hiatt. Mikaela introduced herself to the Committee.

Jean Higaki added that per federal regulations, California will need to redo the Air Model.

## **9. Member Reports**

Member Willis reported that he attended MTC meeting regarding emergency routes, however, the topic did not address E-W connection.

Vice-Chair Hurley reported the TA is updating the Strategic Plan and holding public workshops and online surveys. Measure W set aside 10% for local share. TA will be entering into agreements with jurisdictions for the funds.

Member Choe reported that MTC/ABAG currently has an online survey regarding technical assistance.

Vice-Chair Porter reported that PGE issued notices related to blackouts, which includes providing 48-hours advanced notices and that blackouts can last 2-3 days. PG&E plans to share additional policies including exemptions.

Member Ovadia reported potential interest in a power purchase agreement for solar and microgrid backup.

Meeting adjourned at 2:35 p.m.

## **C/CAG AGENDA REPORT**

Date: August 15, 2019

To: Congestion Management Program Technical Advisory Committee (TAC)

From: Van Ocampo, Transportation System Coordinator

Subject: Receive a presentation on the proposed US 101 Deck Replacement at Alemany Circle  
(For further information, contact Van Ocampo at 650-599-1460)

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### **RECOMMENDATION**

It is recommended that the C/CAG CMP TAC receive a presentation from Caltrans regarding the proposed US 101 Deck Replacement at Alemany Circle

### **BACKGROUND**

Caltrans is proposing to do deck replacement work on the existing structure along US 101, at Alemany Circle. Construction is expected to commence in July of 2020, with lane closures schedule for approximately 18 days. Major traffic delays and much longer queues extending to SFO in the northbound direction, are to be expected during construction.

As part of the project's Public Information campaign, representatives from Caltrans District IV will be making a presentation to the TAC on various aspects of the project and provide TAC members the opportunity to ask questions and/or raise concerns.

### **ATTACHMENT**

Informational Sheet for US 101 Deck Replacement at Alemany Circle

## Informational Sheet for US-101 Deck Replacement Project at Alemany Circle in San Francisco



**Description:** The US-101 Deck Replacement Project at Alemany Circle is a 3-lane freeway in each direction, located in San Francisco at Alemany Circle, north of the US-101 and I-280 interchange. It is adjacent to the Bayshore Blvd business district and within Bernal Heights, Portola and Visitation Valley, Potrero Hill neighborhoods. This section of US 101 was built in 1950 and the 6-1/2" concrete deck has spalled, cracked and in constant state of patch work repair. There are 12 spans in the northbound direction and 13 spans in the southbound direction, for a length of approximately 800 feet, that need to be demolished and reconstructed.

Although construction of this project is relatively simple, this section of the freeway carries 160,000 vehicles per day and the challenge is to maintain traffic through the build alternative detour during the anticipated 18-day construction period. The Northbound Off and Onramp Detour build alternative will widen the single lane Alemany Blvd off and onramp to two lanes to carry northbound traffic during the construction period. It is anticipated that replacement of the northbound decks will take about nine days after which, southbound US-101 traffic will be shifted over to the newly constructed northbound decks, while the southbound decks are being demolished and reconstructed for approximately another nine days. Traffic will be restored to normal and the widened off and onramp during the detour, will be restored to a single lane off and onramp.

**Cost:** The project is approximately \$40M in capital cost, including \$8M in maximum amount of contractor incentive to complete the work expeditiously. Cost includes a 10% contingency, cost to use SFMTA parking control officers at key intersections.

## Informational Sheet for US-101 Deck Replacement Project at Alemany Circle in San Francisco

**Schedule:** Per coordination with SFMTA, a calendar date of July 10, 2020 to July 27, 2020 has been selected to perform this work. The Caltrans construction project will include period to perform pre-work in preparation of the detour, and post restoration work and other related work in upgrading the infrastructure.

**Coordination with City of San Francisco:** As recommended by SFCTA, CT PM has presented project to Board of Supervisors and will present at SFCTA and SFMTA Boards and the Mayor's Office before conducting formal public meetings.

**Transportation Demand Management Effort:** The capacity of the US-101 northbound lanes will be reduced from 3 lanes to 2 lanes for duration of the project and at a reduced speed from 50 mph to 35 mph. Delays are calculated to be substantial as it is atypical to have lane closures during the daily morning and afternoon commute as well as on weekends. Therefore, a TDM committee has been set up to address reduction of traffic through the corridor in July 2020.

### **Project Information – EA 3G620**

Project Manager: Al B. Lee

Capital Cost: approximately \$40M; SHOPP funded. PS&E has been completed.

## C/CAG AGENDA REPORT

Date: August 15, 2019

To: Congestion Management Program Technical Advisory Committee (TAC)

From: Kim Wever

Subject: Review and recommend formation of a subgroup to provide input to further refine the Draft Data Request Form to track the success of performance measures developed in the San Mateo Countywide Transportation Plan 2040 (SMCTP 2040)

(For further information or questions, contact Kim Wever at 650-599-1451)

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### **RECOMMENDATION**

That the Congestion Management Program TAC review and recommend formation of a subgroup to provide input to further refine the Draft Data Request Form to track the success of performance measures developed in the San Mateo Countywide Transportation Plan 2040 (SMCTP 2040)

### **FISCAL IMPACT**

Not Applicable

### **SOURCE OF FUNDS**

Not Applicable

### **BACKGROUND**

#### SMCTP 2040

The C/CAG Board approved Resolution 17-05 adopting the San Mateo Countywide Transportation Plan 2040 (SMCTP 2040) at the February 9, 2017 meeting and subsequently, at the March 9, 2017 meeting, the Board was provided a list of “next steps” to be performed as follow-up strategies for implementing the SMCTP 2040.

#### SMCTP 2040 Follow-Up Action Plan

After receiving direction from the Board at the July 13, 2017 meeting, staff convened the SMCTP 2040 Follow-Up Working Group comprised of 20 interested members from the community, advocacy groups, C/CAG Board members, and transportation officials to advise staff how to refine strategies for the SMCTP 2040 Follow-Up Action Plan. The Working Group met a total of five times over the course of the Follow-Up process. The SMCTP 2040 Follow-Up Action Plan (Action Plan) provides San Mateo County jurisdictions, leaders, and stakeholders a roadmap for implementing and tracking the SMCTP 2040. The Action Plan serves as a guiding tool for C/CAG and its member agencies to ensure appropriate modifications are discussed during the next CTP update. The Plan is intended to be a living document that can be modified, as necessary.

The Action Plan discusses Working Group recommendations on actions to improve implementation as it relates to tracking performance measures outlined in the SMCTP 2040, specifically, person throughput, accessibility, and safety. Working Group members identified a focused list of one to three performance measures per SMCTP 2040 categories to be tracked over time as part of the

implementation process. The focused list allows C/CAG staff to carefully track success of the performance measures, make any appropriate modifications, and limits the amount of data needed by member agencies. To track the success of performance measures, C/CAG will rely on data provided by member agencies as part of a Data Request Form (Attachment 1).

At the October 18, 2018 meeting, the TAC reviewed the draft Action Plan and recommended approval with the Appendices to be forwarded as “draft” and the Data Request Form to be further refined. At the November 15, 2018 meeting, the C/CAG Board approved the Follow-Up Action Plan of the SMCTP 2040.

#### Near Term Actions

The SMCTP 2040 Follow-Up Action Plan lists nine near-term actions:

1. Gather baseline information for priority measures through data collection efforts with member agencies and organizations.
2. Data Requests for information from Partner and Member Agencies.
3. Develop Performance Measure Tracking Spreadsheet. (In Progress)
4. Provide grant writing technical assistance through the GW-TAP. (Completed)
5. Seek creative partnerships between government agencies, CBOs, and the private sector to fund transportation projects and programs. (In Progress)
6. Update the Transportation Projects in San Mateo County Map on a regular basis. (Ongoing)
7. Update of the Countywide Community Based Transportation Plan. (In Progress)
8. Convene the SMCTP 2040 Implementation Group to provide feedback on pilot process and SMCTP 2040 implementation.
9. Schedule SMCTP 2040 Implementation Group meetings where the group will identify 3-5 projects, apply the Action Plan priorities, monitor and evaluate.

#### Draft Data Request Form

The Data Request Form will help complete two of the nine near-term actions:

1. Gather baseline information for priority measures through data collection efforts with member agencies and organizations.
2. Data Requests for information from Partner and Member Agencies.

The purpose of the Data Request Form is to provide a baseline as well as help track the success of performance measures developed in the SMCTP 2040. Some data may be unattainable by some agencies, therefore agencies should complete what they are able to. The Draft Data Request Form was created from the focused list of performance measures the Working Group identified. Through this data collection process, performance measured will be analyzed and provide guidance towards the next CTP update.

Staff requests the TAC to approve the formation of an ad hoc subgroup comprised of three to four city staff to review and refine the Draft Data Request Form. The updated form will be brought back to the full TAC at a future meeting for approval recommendation.

#### **ATTACHMENTS**

1. Draft Data Request Form

# C/CAG

## CITY/COUNTY ASSOCIATION OF GOVERNMENTS OF SAN MATEO COUNTY

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### Data Request Form (Cities/County)

**Note:** Draft Data Request Form will be further reviewed and refined by the C/CAG Technical Advisory Committee.

This Data Request form will be used to track the success of performance measures developed in the San Mateo Countywide Transportation Plan 2040 (SMCTP 2040). We request Planning and Public Works Department staff help us ensure progress is being made to implement this countywide plan. While some agencies may have access to all the data below, we recognize that some data is unattainable for all, so please complete what you are able.

Please return this form and any supporting information by [date] to Kim Wever ([kwever@smcgov.org](mailto:kwever@smcgov.org)).

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COMPLETED BY (NAME/TITLE/MEMBER AGENCY):

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DATE:

WORK PHONE NUMBER:

WORK EMAIL:

### ROADWAY SYSTEM

1. What is your agency's annual rate of traffic fatalities and serious injuries on **local roads**?

Please specify number of vehicle, pedestrian, and bicycle crashes.

Total annual rate (%)	
# of vehicle crashes	
# of pedestrian crashes	
# of bicycle crashes	

2. What is the peak-period vehicle hours of delay for major roadway facilities? \_\_\_\_\_
3. What is the peak-period throughput for major roadway facilities? \_\_\_\_\_
4. What is the average peak-period vehicle occupancy of major roadway facilities? \_\_\_\_\_

## BICYCLES

1. How many miles of the following bicycle facilities are currently built in your jurisdiction?

Class I	
Class II	
Class III	
Class IV	
Total (miles)	

2. How many units of the following signal modifications are currently installed in your jurisdiction?

High-Intensity Activated Crosswalk (HAWK)	
Rapid Rectangular Flashing Beacon (RRFB)	
Bicycle signals/detectors	

3. Do you currently conduct regular bicycle counts? YES  NO

If yes, which locations, and what method do you use (i.e., manual counts or video)?

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4. How do you measure bicycle mode share (census data, other planning efforts)?

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5. What is the bicycle mode share (all trips) for your jurisdiction? \_\_\_\_\_

6. What is your bicycle mode share target (all trips) for 2040? \_\_\_\_\_

7. What is your current bicycle mode share for work trips? \_\_\_\_\_

## PEDESTRIANS

1. How many linear feet of sidewalk has been added in the past 5 years? \_\_\_\_\_
2. Do you currently conduct regular pedestrian counts? YES  NO   
If yes, which locations, and what method do you use (i.e., manual counts or video)?  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
3. How do you measure pedestrian mode share (census data, other planning efforts?)?  
\_\_\_\_\_
4. What is the pedestrian mode share (all trips) for your jurisdiction? \_\_\_\_\_
5. What is your pedestrian mode share target (all trips) for 2040? \_\_\_\_\_

## TRANSPORTATION SYSTEM MANAGEMENT AND INTELLIGENT TRANSPORTATION SYSTEMS

1. If your jurisdiction is part of the Smart Corridor, does your jurisdiction utilize a central signal system other than the KITS? YES  NO  NOT PART OF THE SMART CORRIDOR
2. How many intersections within your jurisdiction are equipped with public transit traffic signal pre-emption? \_\_\_\_\_
3. How many intersections within your jurisdiction are equipped with emergency vehicle preemption?  
\_\_\_\_\_

## TRANSPORTATION DEMAND MANAGEMENT

1. Does your jurisdiction require commute alternative plans with major development projects or for major employers? YES  NO
2. How many commute alternative plans exist within your jurisdiction? \_\_\_\_\_
3. Approximately how many employees are served by these plans? \_\_\_\_\_

## PARKING

1. Does your jurisdiction reduce parking requirements for affordable housing projects, transit oriented development or developments with shared-parking arrangements? YES  NO
2. Does your jurisdiction have a parking management master plan? YES  NO   
If yes, how recently was it updated? Does the plan include bicycle parking improvements?  
\_\_\_\_\_  
\_\_\_\_\_

# C/CAG

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### Data Request Form (Transit Agencies)

**Note:** Draft Data Request Form will be further reviewed and refined by the C/CAG Technical Advisory Committee.

This Data Request form will be used to track the success of performance measures developed in the San Mateo Countywide Transportation Plan 2040 (SMCTP 2040). We request Caltrain, SamTrans, BART, WETA and other agency staff help us ensure progress is being made to implement this countywide plan.

Please return this form and any supporting information by [date] to Kim Wever ([kwever@smcgov.org](mailto:kwever@smcgov.org)).

COMPLETED BY (NAME/TITLE/PARTNERAGENCY): \_\_\_\_\_

DATE: \_\_\_\_\_

WORK PHONE NUMBER: \_\_\_\_\_

WORK EMAIL: \_\_\_\_\_

### PUBLIC TRANSPORTATION

1. What is the increase(%) in public transportation trips since 2015? \_\_\_\_\_

2. Do you have a percentage target for transit-to-work trips? YES  NO

If yes, what is the target?  
\_\_\_\_\_

3. For all routes, please provide the following:

Passengers per service hour	
Cost per passenger	
Farebox recovery ratio	

### MODAL CONNECTIVITY

1. How many public transit stations and stops feature bicycle and pedestrian access improvements?  
\_\_\_\_\_

**MODAL CONNECTIVITY (CONT.)**

2. Do you regulate shuttles between work sites and public transit stations and stops?

YES  NO

If yes, do you know the number of shuttle buses operating and their hours of operation?

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3. If your agency addresses the impact of transportation network companies (TNCs) such as Uber and Lyft, explain how.

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DRAFT

## **C/CAG AGENDA REPORT**

Date: August 15, 2019

To: City/County Association of Governments of San Mateo County Board of Directors

From: Jeff Lacap, Transportation Program Specialist

Subject: Review and recommend approval of the Draft 2020 State Transportation Improvement Program (STIP) for San Mateo County

(For further information or questions, contact Jeff Lacap at 650-599-1455)

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### **RECOMMENDATION**

That the TAC Committee review and recommend approval of the Draft 2020 State Transportation Improvement Program (STIP) for San Mateo County

### **FISCAL IMPACT**

No direct impact to the C/CAG budget.

### **SOURCE OF FUNDS**

The 2020 STIP fund will come from State and Federal fund sources.

### **BACKGROUND**

The STIP is the biennial five-year plan for future allocations of state transportation funds, developed in coordination with and developed by the Metropolitan Transportation Commission (MTC) for adoption by the California Transportation Commission (CTC). It is a five-year document adopted every two years by the CTC to program certain portions of the gas tax for transportation projects.

On June 26, 2019, Caltrans presented the draft STIP Fund Estimates for the five-year STIP period (FY 2020/21 through FY 2024/25) to the California Transportation Commission (CTC). The CTC scheduled to adopt this estimate at their August 14-15<sup>th</sup>, 2019 meeting. The MTC Commission is scheduled to adopt regional STIP policy and procedures on September 25, 2019.

C/CAG is the designated agency responsible to develop the regional share of the STIP for San Mateo County. STIP candidate projects must be consistent with the Regional Transportation Plan as well as the County's Congestion Management Plan. In addition, projects must have an approved Project Study Report (PSR). Phases of funding in the STIP must be able to show a full funding plan. Also, projects in excess of \$50 million in total project cost must include a project level performance level analysis and lifecycle cost benefit analysis.

The last updated adopted cycle, 2018 STIP, covered the period between FY 2018/19 through 2022/23. Funds previously programmed for highway projects as adopted in the 2018 STIP are still committed; however, the timing of those funds being available is not guaranteed. CTC may also reprogram current projects into later years.

Caltrans presented the draft statewide 2020 STIP Fund Estimates for the five-year STIP period (FY 2020/21 through FY 2024/25) to the CTC at their June 26, 2019 meeting. San Mateo County was projected to receive less than 4 million dollars in new funds for the 2020 STIP. With this low estimate, staff informed the C/CAG Board on July 11, 2019 that solicitation of projects to all 21 jurisdictions would not be meaningful. However, on July 15, 2019, CTC staff indicated an error in the fund estimate and provided a revision, which resulted in an increase of approximately 3.4 million dollars. Because of the increase, C/CAG staff moved ahead with the originally planned solicitation for projects.

As a result, the current working estimate is approximately 6.4 million dollars for the 2020 STIP to program for transportation projects, available to be programmed in the FY 2024/25 fiscal year. Staff will use this as a working estimate, which may later be adjusted by the CTC upon adoption of the final STIP Fund Estimate.

On July 17, 2019, C/CAG staff reached out to all directors of public works and city/county managers via e-mail, soliciting for candidate projects to consider with a due date of August 7, 2019. In addition, staff has also been working with partner transportation agencies such as Caltrans and the San Mateo County Transportation Authority in identifying top regional projects that supports the continued policy of directing the STIP funds towards major highway improvement projects of regional significance in order to best leverage other state and federal funds (such as SB1) in addition to the San Mateo County Transportation Authority Highway Program funds.

Staff collaborated with the San Mateo County Transportation Authority (SMCTA) and Caltrans staff and recommends the Proposed Draft 2020 STIP as attached. Here are some highlights:

1. From 2018 STIP - Design phase funds for the Short-Term Area Improvements for the 92/101 Interchange Project to be moved out by one year to from FY 20-21 to FY 21-22 to align with the project schedule.
2. From 2018 STIP - Implementing agency for the Countywide ITS Project - (SSF Smart Corridors expansion), to be changed from C/CAG to the City of South San Francisco for the construction phase, to properly reflect the implementing agency.
3. New - Design funds to be added for the US 101 Managed Lane Project North of I-380.

Upon approval by the C/CAG Board in October, the Proposed 2020 STIP for San Mateo County will be forwarded to the Metropolitan Transportation Commission (MTC) for inclusion in the Bay Area regional STIP proposal. If approved by the MTC, as scheduled on December 18, 2019, the proposal will be forwarded to the California Transportation Commission (CTC) for approval and adoption in March 2020. During the coming months, it is anticipated Bay Area-wide and statewide negotiations will take place regarding the exact amount of funds available for each county in each fiscal year.

#### **ATTACHMENTS**

1. Summary of Proposed 2020 STIP for San Mateo County

Adopted in 2018 STIP  
(Carryover)

	Lead Agency	Rte	PPNO	Project	Total (2018 STIP)	Total (2020 STIP)	(Info Only) 19-20	20-21	21-22	22-23	23-24	24-25	Comments
Projects	Menlo Park	101	690B	US 101/Willow interchange reconstruction - AB 3090 reimb	8,000		4,000	4,000					
	SM C/CAG	VAR	2140E	Countywide ITS Project - (SSF Smart Corridors expansion)	240		240						
	SM C/CAG SSF	VAR	2140E	Countywide ITS Project - (SSF Smart Corridors expansion)	4,058		4,058						SSF will be lead agency to allocate \$4,058 (CON)
	SM C/CAG	92	668D	Phase 2 of SR 92 Improvement from I-280 to US 101 - Improvement at the SR 92/US 101 Interchange Vicinity	5,628		2,411	→ 3,217					Push \$3,217 from FY20/21 to FY21/22
	SM C/CAG	101	658D	US 101 Managed Lane Project from Santa Clara County Line to I-380	33,500		16,000	17,500					
	RWC	101	692K	Woodside Interchange	8,000				8,000				
	SSF	101	702D	Produce Interchange - Improvements	5,000			5,000					
	SM C/CAG	101/280	658G	ITS Improvements in San Mateo northern cities - (including Daly City, Brisbane, and Colma)	1,600		600		1,000				
	Daly/Bris/Colma	101/280	658G	ITS Improvements in San Mateo northern cities - (including Daly City, Brisbane, and Colma)	6,900					6,900			
<b>SUBTOTAL - HIGHWAY (2020/21 thru 2024/25) from 2018 STIP:</b>					<b>72,926</b>		<b>27,309</b>	<b>26,500</b>	<b>12,217</b>	<b>6,900</b>			
Admin	MTC		2140	Planning, programming, and monitoring (MTC)	246			79	82	85			
	SM C/CAG		2140A	Planning, programming, and monitoring (CMA)	787			263	262	262			
	<b>SUBTOTAL - PLANNING/ADMIN (2020/21 thru 2024/25) from 2018 STIP:</b>					<b>1,033</b>		<b>342</b>	<b>344</b>	<b>347</b>			
Projects	SM C/CAG	NEW	NEW	US 101 Managed Lane Project North of I-380		6,390							6,390
	<b>SUBTOTAL - HIGHWAY (2020/21 thru 2024/25):</b>						<b>6,390</b>						<b>6,390</b>
Admin	MTC		2140	Planning, programming, and monitoring (MTC)		179					88		91
	SM C/CAG		2140A	Planning, programming, and monitoring (CMA)		247					46		201
<b>SUBTOTAL - PLANNING/ADMIN (2020/21 thru 2024/25):</b>						<b>426</b>					<b>134</b>		<b>292</b>
<b>TOTAL (2020/21 thru 2024/25):</b>					<b>73,959</b>	<b>6,816</b>	<b>27,309</b>	<b>26,842</b>	<b>12,561</b>	<b>7,247</b>	<b>134</b>		<b>6,682</b>

Proposed for  
2020 STIP

## C/CAG AGENDA REPORT

Date: August 15, 2019

To: C/CAG Congestion Management Program Technical Advisory Committee (CMP TAC)

From: Jeff Lacap, Transportation Programs Specialist

Subject: Regional Project and Funding Information

(For further information, contact Jeff Lacap at 650-599-1455 or [jlacap@smcgov.org](mailto:jlacap@smcgov.org))

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### RECOMMENDATION

Regional project and funding information.

### FISCAL IMPACT

None

### SOURCE OF FUNDS

N/A

### BACKGROUND

C/CAG staff routinely attends meetings hosted by the Metropolitan Transportation Commission (MTC) and receives information distributed from MTC pertaining to federal funding, project delivery, and other regional policies that may affect local agencies. Attached to this report includes relevant information from MTC.

#### FHWA Policy for Inactive Projects

Caltrans requires administering agencies to submit invoices at least once every 6 months from the time of obligation (E-76 authorization). The current inactive list is attached (Attachment 1). Project sponsors are requested to visit the Caltrans site regularly for updated project status at: <https://dot.ca.gov/programs/local-assistance/projects/inactive-projects>

Please continue to send in your invoices in a timely matter to Caltrans or let them know of any unanticipated delays to your project.

## Pavement Management Program (PMP) Certification

The current PMP certification status listing is attached (Attachment 2). Jurisdictions without a current PMP certification are not eligible to receive regional funds for local streets rehabilitation and will have projects removed from MTC's obligation plans until their PMP certification is in good standing. Contact Christina Hohorst, PTAP Manager, at (415) 778-5269 or [chohorst@mtc.ca.gov](mailto:chohorst@mtc.ca.gov) if you need to update your certification.

## Miscellaneous MTC/CTC/Caltrans Federal Aid Announcements

### *Proposed MTC Annual Obligation Plan for FY 2019-20*

The MTC Annual Obligation Plan status report for FY 2019-2020 is attached for your reference (Attachment 3). The jurisdictions listed in this report are required to deliver a complete, funding obligation Request for Authorization (RFA) package to Caltrans Local Assistance by November 1, 2019 for this upcoming federal fiscal year. Funds that do not meet the obligation deadline of January 31, 2019 are subject to re-programming by MTC. C/CAG staff will be working with project sponsors to determine if projects need to be pushed out and re-programmed in the outer years. Project sponsors can track the E-76 status of their projects at: <https://dot.ca.gov/programs/local-assistance/reports/e-76-obligated>.

The Annual Obligation Plan is established in the MTC Resolution 3606, the regional project delivery policy that establishes certain deadlines and requirements for agencies accepting Federal Highway Administration (FHWA) funding and including these funds in the federal Transportation Improvement Program (TIP). The intent of the regional funding delivery policy is to ensure implementing agencies do not lose any funds due to missing a federal or state funding deadline, while providing maximum flexibility in delivering transportation projects.

MTC is proposing to include more stringent deadlines and consequences to the regional project-funding delivery process under MTC Resolution 3606. A copy of the proposed changes in bold underscore is attached for your reference (Attachment 4). **MTC requires project sponsor concurrence via email from the project sponsor single point of contact (SPOC) to John Saelee ([jsaelee@bayareametro.gov](mailto:jsaelee@bayareametro.gov)) by August 31, 2019.**

The Plan will be discussed at the September MTC Joint Partnership - Local Streets and Roads/Programming and Delivery Working Group and will be finalized on October 1, 2019. Questions on the plan development and current status of projects can be directed to: John Saelee at (415) 778-6711 or [jsaelee@bayareametro.gov](mailto:jsaelee@bayareametro.gov) or to Ross McKeown at 415-778-5242 or [rmckeown@bayareametro.gov](mailto:rmckeown@bayareametro.gov)

### *Proposed MTC Annual CTC Allocation Plan for FY 2019-20*

Expanding on the development and implementation of the regional annual obligation plan mentioned above, MTC will develop and maintain a regional "CTC Allocation Plan" (Attachment 5) identifying the CTC-administered programs and projects, such as STIP, ATP and RRRR (SB1) with CTC-

allocation deadlines within the state fiscal year. It is the responsibility of the SPOC to ensure this plan includes all projects from their agency that have applicable delivery deadlines within the fiscal year.

### *A&E Oversight Training*

Due to high demand, the California Local Technical Assistance Program (LTAP) Center is hosting a another Architectural and Engineering (A&E) Oversight Training on Tuesday, September 10<sup>th</sup> at Caltrans District 4 Office in Oakland. This training focuses on Chapter 10 of the Local Assistance Procedures Manual (LAPM) and provides an overview of step-by-step procedures that local agencies must follow to procure A&E contracts.

Please email Caltrans Staff Lisa Wolf at [lisa.wolf@dot.ca.gov](mailto:lisa.wolf@dot.ca.gov). There is a limit of three (3) representatives per agency.

### *COIN 19-01 Project Delivery Requirements*

Senate Bill 1 (SB1) is resulting in higher levels of State funds made available to deliver transportation projects. Accordingly, local agencies / Metropolitan Planning Organizations (MPOs) are programming and funding projects in strategic ways to leverage these State funds in combination with available Federal funds. This Caltrans Oversight Information Notice (COIN) clarifies some project delivery requirements triggered using Federal vs. non-Federal (State or local) funds for various project phases (Attachment 6).

### *Bike and Ped Count Data Needed*

UC Berkeley Safe Transportation Research and Education Center (SafeTREC) is working with Caltrans on bicycle and pedestrian safety studies. They are seeking bicycle and pedestrian count data from California to generate new and updated existing exposure (volume) models.

SafeTreck is requesting the following:

- Counts collected on state highways or local arterial roads
- Intersection crossing or segment counts (please specify)
- Duration of 2 hours or more
- Collected within the past 5 years
- Date(s) collected, start and end times, and location
- Raw data

Please send your questions and data to Julia Griswold and Rachel Carpenter **by October 1, 2019** at Julia Griswold [juliagris@berkeley.edu](mailto:juliagris@berkeley.edu) and Rachel Carpenter [rachel.carpenter@dot.ca.gov](mailto:rachel.carpenter@dot.ca.gov).

### *Active Transportation Non-Infrastructure Regional Workshops*

The Caltrans Active Transportation Resource Center (ATRC) offers Active Transportation Non-Infrastructure Regional Workshops at no cost for those agencies/jurisdictions/regions who want to

initiate, increase and/or improve their Active Transportation efforts through programming, including Safe Routes to School. Workshops will be tailored to each community's unique needs or goals.

For more information, please complete the online request form below:

<http://www.surveygizmo.com/s3/3446026/Active-Transportation-NI-Regional-Workshop-Request-Form>

*Implementation of New LAPM Form 3-A, Project Authorization/Adjustment Request*

Caltrans Division of Local Assistance released Office Bulletin DLA-OB 18-03 on November 29, 2018, introducing a new dynamic form, LAPM 3-A, *Project Authorization/Adjustment Request*, to request authorizations and adjustments for federal-aid projects. This form was created to streamline the request process and consolidate multiple forms into one dynamic form.

LAPM 3-A Form: <http://www.dot.ca.gov/hq/LocalPrograms/lam/forms/forms/chapter3/LAPM-3A.PDF> (Please make sure to right-click on the form, save to your computer, then open it from Adobe Acrobat/Reader. Otherwise, by just clicking the link, the form may not appear or work properly.)

**ATTACHMENTS**

1. Caltrans Inactive Obligation Project List for San Mateo County as of August 1, 2019
2. MTC's PMP Certification Status of Agencies within San Mateo County as of August 5, 2019
3. Proposed MTC Annual Obligation Plan for FY 2019-20
4. Proposed MTC Annual Obligation Plan Requirements under MTC Resolution 3606 for FY 19-20 (Can be found on the C/CAG CMP TAC website:  
<http://ccag.ca.gov/committees/congestion-management-program-technical-advisory-committee/>)
5. Proposed MTC Annual CTC Allocation Plan for FY 2019-20
6. Caltrans COIN 19-01 Project Delivery Requirements

Updated on 08/01/2019

Project No.	Status	Agency Action Required	State Project No	Prefix	District	County	Agency	Description	Latest Date	Authorization Date	Last Expenditure Date	Last Action Date	Program Codes	Total Cost	Federal Funds	Expenditure Amount	Unexpended Balance
5267026	Inactive	Submit invoice to District by 08/20/2019	0418000408L	ATPCML	4	SM	San Carlos	IN SAN CARLOS - US 101 AND HOLLY STREET INTERCHANGE PEDESTRIAN OVER CROSSING OVER US101 AND MULTIPURPOSE PATH AT HOLLY STREET INTERCHANGE	07/19/2018	07/19/2018		09/16/2018	Z400	\$23,272,000.00	\$1,000,000.00	\$0.00	\$1,000,000.00
5177037	Inactive	Submit invoice to District by 08/20/2019	0417000117L	ATPL	4	SM	South San Francisco	LINDEN AVE FROM CALIFORNIA AVE TO MILLER AVE AND ON SPRUCE AVE FROM MAPLE AVE TO LUX AVE PEDESTRIAN IMPROVEMENTS	07/10/2018	04/20/2017	07/10/2018	02/27/2019	Z301,M300	\$2,371,144.00	\$868,000.00	\$84,669.26	\$783,330.74
5029034	Inactive	Submit invoice to District by 08/20/2019	0415000314L	STPL	4	SM	Redwood City	REDWOOD CITY DOWNTOWN, PLANNING STUDY OF SEQUOIA STATION AND STREETCAR	08/02/2018	04/17/2015	08/02/2018	08/02/2018	M23E	\$508,302.00	\$450,000.00	\$352,831.77	\$97,168.23
5438015	Inactive	Submit invoice to District by 08/20/2019	0414000191L	HPLUL	4	SM	East Palo Alto	UNIVERSITY OVERCROSSING US 101 BIKE PED PATH	09/19/2018	11/27/2013	09/19/2018	09/19/2018	LY20,HY20	\$950,000.00	\$760,000.00	\$686,596.30	\$73,403.70
5438013	Inactive	Carry over project. Invoice returned to agency. Contact DLAE.	0412000266L1	SRTSL	4	SM	East Palo Alto	FORDHAM ST/PURDUE AVE, BAY RD BETWEEN NEWBRIDGE ST AND GLORIA WAY, , PULGAS AVE/RUNNYMEDE ST, PULGAS AVE BETWEEN O'CONNOR ST AND MYRTLE ST. CONST SIDEWALKS, RAMPS, INSTALL CROSSWALK LIGHTING	04/27/2018	04/04/2011	04/27/2018	04/27/2018	LU2E,LU20	\$556,302.00	\$555,202.00	\$501,587.73	\$53,614.27
5177033	Future	Submit invoice to District by 11/20/2019	0414000209L	CML	4	SM	South San Francisco	EL CAMINO REAL (SR82: PM20.6-20.9) DR CHESTNUT TO ARROYO AVE IMPROVE PED. CROSSINGS, BULB OUT, ADA RAMPS	10/12/2018	01/31/2014	10/12/2018	10/12/2018	Z003,M003	\$7,088,262.00	\$1,000,000.00	\$158,096.00	\$841,904.00
5177030	Future	Invoice returned to agency. Resubmit to District by 11/20/2019	0413000001L	BRLS	4	SM	South San Francisco	SAN BRUNO CANAL BRIDGE AT SOUTH AIRPORT BOULEVARD BRIDGE REPLACEMENT	12/12/2018	12/13/2012	12/12/2018	12/12/2018	Z001,M240	\$7,153,750.00	\$6,333,214.00	#####	\$426,093.97
5935079	Future	Invoice under review by Caltrans. Monitor for progress.	0418000322L	BPMP	4	SM	San Mateo County	CLOVERDALE ROAD OVER BUTANO CREEK, NORTH OF BUTANO PARK ROAD (BR NO 35C0041) SCOUR COUNTERMEASURES AND TREAT DECK WITH METHACRYLATE (TC)	11/07/2018	11/07/2018		11/07/2018	Z233	\$150,000.00	\$150,000.00	\$0.00	\$150,000.00
5935081	Future	Invoice under review by Caltrans. Monitor for progress.	0419000108L	STPL	4	SM	San Mateo County	10 STREETS IN COUNTY OF SAN MATEO: SPRING ST (WILLOW ST TO DOUGLAS AVE); RINGWOOD AVE (BAY RD TO MIDDLEFIELD RD); FIFTH AVE (EL CAMINO REAL TO SPRING ST); 87TH ST (PARK PLAZA DR TO SULLIVAN ST); INDUSTRIAL RD (HARBOR BLVD TO S. HARBOR); ALAMEDA DE LAS PULGAS (WOODSIDE TO STOCKBRIDGE AVE); LEXINGTON AVE (BUNKER HILL DR TO TICONEROGA DR); ELMER ST (O'NEILL AVE TO HARBOR BLVD), WASHINGTON ST (87TH ST TO ANNIE ST); AND CANYON ST (SKYLINE TO COUNTY BOUNDRY, N/O SUMMIT DR) PAVEMENT MAINTENANCE INCLUDING CAPE SEALING, ADA RAMP IMPROVEMENTS, TRAFFIC STRIPING AND MARKINGS, PAVEMENT REPAIR AND OTHER MISC WORK	11/30/2018	11/30/2018		11/30/2018	Z240	\$120,860.00	\$107,000.00	\$0.00	\$107,000.00

Project No.	Status	Agency Action Required	State Project No	Prefix	District	County	Agency	Description	Latest Date	Authorization Date	Last Expenditure Date	Last Action Date	Program Codes	Total Cost	Federal Funds	Expenditure Amount	Unexpended Balance
5029024	Inactive	Carry over project. Provide status update to DLAE immediately.	0400021045L-N	BPMP	4	SM	Redwood City	BRIDGE PARKWAY OVER MARINE WORLD LAGOON, PREVENTATIVE MAINTENANCE	08/02/2017	04/13/2011	08/02/2017	08/02/2017	Q120	\$75,000.00	\$66,398.00	\$39,121.06	\$27,276.94
5029025	Inactive	Carry over project. Provide status update to DLAE immediately.	0400021046L-N	BPMP	4	SM	Redwood City	BRIDGE PARKWAY(RIGHT) OVER MARINE WORLD LAGOON, EAST OF MARINE WORLD PARKWAY, PREVENTATIVE MAINTENANCE	08/02/2017	04/13/2011	08/02/2017	08/02/2017	Q120	\$75,000.00	\$66,398.00	\$39,121.06	\$27,276.94
5029032	Inactive	Submit invoice to District by 08/20/2019	0414000103L	BPMP	4	SM	Redwood City	MAIN ST, VETERANS BLVD, AND MAPLE ST OVER REDWOOD CREEK BRIDGE PREVENTATIVE MAINTENANCE	08/30/2018	03/21/2014	08/30/2018	05/08/2019	M240	\$26,250.00	\$23,239.00	\$3,006.62	\$20,232.38

PMP\_Certification\_Status\_Listing

PMP Certification August 5, 2019	Expired
	Expiring within 60 days
	Certified

\* "Last Major Inspection" is the basis for certification and is indicative of the date the field inspection was completed.

County	Jurisdiction	Last Major Inspection*	Certified	P-TAP Cycle	Certification Expiration Date
San Mateo	Atherton	8/13/2018	Yes	19	9/1/2020
San Mateo	Belmont	8/30/2017	Yes	20	9/1/2019
San Mateo	Brisbane	8/11/2018	Yes	19	9/1/2020
San Mateo	Burlingame	9/1/2018	Yes	19	10/1/2020
San Mateo	Colma	8/31/2017	Yes	20	9/1/2019
San Mateo	Daly City	1/31/2017	Pending	20	4/30/2020
San Mateo	East Palo Alto	12/19/2018	Yes	19	1/1/2021
San Mateo	Foster City	2/28/2018	Yes	18	3/1/2020
San Mateo	Half Moon Bay	12/31/2015	Pending	20	4/30/2020
San Mateo	Hillsborough	10/2/2018	Yes	19	11/1/2020
San Mateo	Menlo Park	11/12/2018	Yes	19	12/1/2020
San Mateo	Millbrae	8/31/2017	Yes	18	9/1/2019
San Mateo	Pacifica	8/20/2018	Yes	19	9/1/2020
San Mateo	Portola Valley	9/1/2018	Yes	19	10/1/2020
San Mateo	Redwood City	11/14/2018	Yes	19	12/1/2020
San Mateo	San Bruno	9/30/2017	Yes	20	10/1/2019
San Mateo	San Carlos	8/31/2016	Yes	20	9/1/2019
San Mateo	San Mateo	11/30/2017	Yes	18	12/1/2019
San Mateo	San Mateo County	8/31/2016	Yes	20	9/1/2019
San Mateo	South San Francisco	9/1/2017	Yes	20	9/1/2019
San Mateo	Woodside	11/15/2018	Yes	19	12/1/2020

(\* ) Indicates One-Year Extension. Note: PTAP awardees are ineligible for a one-year extension during the cycle awarded.

(^ ) Indicates previous P-TAP awardee, but hasn't fulfilled requirement; must submit certification prior to updating to current P-TAP award status.

Note: Updated report is posted monthly to:

[http://mtc.ca.gov/sites/default/files/PMP\\_Certification\\_Status\\_Listing.xlsx](http://mtc.ca.gov/sites/default/files/PMP_Certification_Status_Listing.xlsx)

Draft MTC FFY 2019-20 Annual Obligation Plan													Total	Total	Remaining
Project List													Obligations	Programmed	Balance
June 30, 2019										Obligation			0%	100%	100%
County	Local Agency	TIP ID	FMS ID	Unique ID	Program	Fund Source	FPN	Phase	Project Title	Latest Action	Latest Action	Oblig/Alloc	\$0	\$327,754,053	\$327,754,053
County	Sponsor	TIP ID	FMS ID	Unique ID	Program	Fund Source	FPN	Phase	Project Title	Latest Action	Action Date	Deadline	Oblig Amount	Total	Balance
San Mateo	Atherton	SM-170018	6662	CMAQ-T5-OBAG2-CO	OBAG2	CMAQ	-5261()	CON	Atherton - Middlefield Road Class II Bike Lanes			31-Jan-2020	\$0	\$251,000	\$251,000
San Mateo	Burlingame	SM-170020	6618	CMAQ-T5-OBAG2-CO	OBAG2	CMAQ	CML-5171(023)	CON	Burlingame: Broadway PDA Lighting Improvements			31-Jan-2020	\$0	\$720,000	\$720,000
San Mateo	Burlingame	SM-170021	6699	STP-T5-OBAG2-CO	OBAG2	STP	RSTP-5171(024)	CON	Burlingame Street Resurfacing			31-Jan-2020	\$0	\$571,000	\$571,000
San Mateo	Colma	SM-170022	6631	CMAQ-T5-OBAG2-CO	OBAG2	CMAQ	-5264()	CON	Colma - Mission Road Bike/Ped Improvements			31-Jan-2020	\$0	\$625,000	\$625,000
San Mateo	Daly City	SM-150012	6242	ATP-ST-T4-2-FED	ATP-ST	ATP-FED	ATPL-5196(040)	CON	Central Corridor Bicycle/Ped Safety Imps			31-Jan-2019	\$0	\$1,719,000	\$1,719,000
San Mateo	Daly City	SM-170023	6659	STP-T5-OBAG2-CO	OBAG2	STP	-5196()	CON	Daly City Street Resurfacing and Slurry Seal			31-Jan-2020	\$0	\$1,310,000	\$1,310,000
San Mateo	East Palo Alto	SM-170024	6677	STP-T5-OBAG2-CO	OBAG2	STP	-5438()	CON	East Palo Alto Citywide Street Resurfacing			31-Jan-2020	\$0	\$416,000	\$416,000
San Mateo	Foster City	SM-170025	6712	STP-T5-OBAG2-CO	OBAG2	STP	-5409()	CON	Foster City - Pavement Rehabilitation			31-Jan-2020	\$0	\$441,000	\$441,000
San Mateo	Half Moon Bay	SM-170013	6626	CMAQ-T5-OBAG2-CO	OBAG2	CMAQ	-5357()	CON	Half Moon Bay - Poplar Complete Streets			31-Jan-2020	\$0	\$1,202,000	\$1,202,000
San Mateo	Hillsborough	SM-170026	6696	STP-T5-OBAG2-CO	OBAG2	STP	-5191()	CON	Hillsborough Street Resurfacing			31-Jan-2020	\$0	\$408,000	\$408,000
San Mateo	MTC	SM-190004	6942	CMAQ-T5-OBAG2-REG-AOM	OBAG2	CMAQ	-()	CON	FPP: US 101 Adaptive Ramp Metering			31-Jan-2020	\$0	\$3,000,000	\$3,000,000
San Mateo	Menlo Park	SM-170027	6691	STP-T5-OBAG2-CO	OBAG2	STP	-5273()	CON	Menlo Park - Santa Cruz and Middle Avenues Rehab			31-Jan-2020	\$0	\$647,000	\$647,000
San Mateo	Millbrae	SM-170028	6681	STP-T5-OBAG2-CO	OBAG2	STP	-5299()	CON	Millbrae Street Rehabilitation			31-Jan-2020	\$0	\$387,000	\$387,000
San Mateo	Pacifica	SM-170029	6656	CMAQ-T5-OBAG2-CO	OBAG2	CMAQ	-5350()	CON	Pacifica - Palmetto Sidewalk Extension			31-Jan-2020	\$0	\$330,000	\$330,000
San Mateo	Redwood City	SM-170032	6673	STP-T5-OBAG2-CO	OBAG2	STP	-5029()	CON	Redwood City Pavement Preservation			31-Jan-2020	\$0	\$1,266,000	\$1,266,000
San Mateo	San Bruno	SM-170033	6683	STP-T5-OBAG2-CO	OBAG2	STP	-5226()	CON	Huntington/San Antonio Street Rehabilitation			31-Jan-2020	\$0	\$673,000	\$673,000
San Mateo	San Carlos	SM-170034	6633	CMAQ-T5-OBAG2-CO	OBAG2	CMAQ	-5267()	CON	Ped Enhancements Arroyo/Cedar & Hemlock/Orange			31-Jan-2020	\$0	\$500,000	\$500,000
San Mateo	San Carlos	SM-170035	6654	STP-T5-OBAG2-CO	OBAG2	STP	-5267()	CON	Cedar and Brittan Ave Pavement Rehab			31-Jan-2020	\$0	\$575,000	\$575,000

Draft MTC FFY 2019-20 Annual Obligation Plan													Total	Total	Remaining
Project List													Obligations	Programmed	Balance
June 30, 2019										Obligation			0%	100%	100%
County	Local Agency	TIP ID	FMS ID	Unique ID	Program	Fund Source	FPN	Phase	Project Title	Latest Action	Latest Action	Oblig/Alloc	\$0	\$327,754,053	\$327,754,053
										Status	Date	Deadline	\$0	\$327,754,053	\$327,754,053
County	Sponsor	TIP ID	FMS ID	Unique ID	Program	Fund Source	FPN	Phase	Project Title	Latest Action	Action Date	Deadline	Oblig Amount	Total	Balance
San Mateo	SM C/CAG	SM-070002	2561	RIP-T4-12-FED-SM	RTIP	RTIP-FED	RPSTPL-6419()	PSE	Countywide ITS	RFA at CT-HQ	28-Nov-2018	31-Jan-2019	\$0	\$240,000	\$240,000
San Mateo	SM C/CAG	SM-150017	6205	0658D	RTIP	RTIP-ST	-()	ROW	US 101 Managed Lanes			31-Jan-2019	\$0	\$16,000,000	\$16,000,000
San Mateo	SM C/CAG	SM-150017	6205	0658D	RTIP	RTIP-FED	ACNHP-Q101(351)	PSE	US 101 Managed Lanes	AC at FHWA	17-Dec-2018	31-Jan-2019	\$0	\$18,000,000	\$18,000,000
San Mateo	South San Francisco	SM-170036	6666	STP-T5-OBAG2-CO	OBAG2	STP	-5177()	CON	SSF Pavement Rehabilitation			31-Jan-2020	\$0	\$1,027,000	\$1,027,000
San Mateo	South San Francisco	SM-130030	6009	0648F	RTIP	RTIP-FED	ACNH-P082(028)	CON	SSF Grand Blvd Initiative: Kaiser Way to McLellan	AC Authorized	16-Mar-2018	31-Jan-2018	\$0	\$1,991,000	\$1,991,000
San Mateo	South San Francisco	VAR170002	6465	HSIP-T5-8	HSIP8	HSIP	HSIPL-5177(041)	CON	Spruce/Commercial Aves Traffic Signal Project	PES Signed	10-Dec-2018	31-Dec-2019	\$0	\$444,000	\$444,000
San Mateo	South San Francisco	VAR170002	6991	HSIP9-04-031	HSIP9	HSIP	HSIPL-5177()	PE	Pedestrian Improvements on W Orange and Hillsdale			30-Sep-2019	\$0	\$32,000	\$32,000
San Mateo	Woodside	SM-170037	6641	STP-T5-OBAG2-CO	OBAG2	STP	-5333()	CON	Road Rehabilitation - Town of Woodside			31-Jan-2020	\$0	\$242,000	\$242,000

Preliminary DRAFT - For Discussion Only - FY 2019-20 CTC Allocation Plan

LSRPDWG Item 4E

Preliminary Draft MTC FY 2019-20 CTC Allocation Plan - For Discussion Only										CTC Allocation				Remaining Balance	Total Allocations	Total Programmed	Remaining Balance	
Project List June 11, 2019										Latest Action Status	CTC Allocation Date	Planned Alloc	Allocation Deadline	100%	0%	100%	100%	
County	Local Agency	TIP ID	FMS ID	Unique ID	Program	Fund Source	PPNO	FPN	Phase	Project Title	Latest Action	CTC Allocation	Planned Alloc	Deadline	\$488,719,000	\$0	\$488,719,000	\$488,719,000
County	Sponsor	TIP ID	FMS ID	Unique ID	Program	Fund Source	PPNO	FPN	Phase	Project Title	Latest Action	CTC Allocation	Planned Alloc	Deadline	Balance	Alloc Amount	Total	Balance
Alameda	AC Transit				LPP-C	LPP-ST	2320B	-()	CON	Purchase Zero Emission Buses	Delivery Failure	Amend & CTC Ext. to 6/30/20		6/30/2019	\$15,000,000	\$0	\$15,000,000	\$15,000,000
Alameda	ACPW				ATP-REG	ATP-ST	2332	-()	CON-NI	Active and Safe Oakland				1/31/2020	\$999,000	\$0	\$999,000	\$999,000
Alameda	ACTC				ATP-REG	ATP-ST	2333	-()	CON-NI	Alameda County School Travel Opportunities Program				1/31/2020	\$3,761,000	\$0	\$3,761,000	\$3,761,000
Alameda	ACTC	ALA150001	5985	RIP-T5-18-ST-ALA	RTIP	RTIP-ST	0080D	-()	CON	Rte 84 Widening, south of Ruby Hill Dr to I-680				1/31/2020	\$11,114,000	\$0	\$11,114,000	\$11,114,000
Alameda	ACTC				TCEP	TCEP-ST	2103D	-()	CON	7th St. Grade Separation (East)				6/30/2020	\$175,000,000	\$0	\$175,000,000	\$175,000,000
Alameda	Alameda	ALA170049	6539	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2300	-5014()	CON	Central Avenue Safety Improvements				1/31/2020	\$7,326,000	\$0	\$7,326,000	\$7,326,000
Alameda	Albany				ATP-REG	ATP-ST	2334	-()	CON	Ohlone Greenway Trail Safety Improvements				1/31/2020	\$410,000	\$0	\$410,000	\$410,000
Alameda	Berkeley	ALA170094	6936	ATP-ST-T5-3ST	ATP-ST	ATP-ST	2322	ATPL-5057()	CON	Berkeley - Sacramento St Complete Streets Imps				1/31/2020	\$1,357,000	\$0	\$1,357,000	\$1,357,000
Alameda	Emeryville				TCEP	TCEP-ST	T0004	-()	CON	Quiet Zone Safety Engineering Measures	Delivery Failure	CTC Ext. to 6/30/20		6/30/2019	\$4,200,000	\$0	\$4,200,000	\$4,200,000
Alameda	Oakland	ALA170043	6531	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2307	ATPL-5012(154)	PSE	14th Street: Safe Routes in the City	Delivery Failure	CTC Ext. to 6/30/20	8/14/2019	1/31/2020	\$1,235,000	\$0	\$1,235,000	\$1,235,000
Alameda	Oakland	ALA170043	6531	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2307	ATPL-5012()	CON	14th Street: Safe Routes in the City	Delivery Failure	Will need award extension		1/31/2020	\$9,343,000	\$0	\$9,343,000	\$9,343,000
Alameda	Oakland	ALA150044	6277	ATP-ST-T4-2-FED	ATP-ST	ATP-FED	2190R	ATPL-5012(144)	CON	19th St BART to Lake Merritt Urban Greenway	Delivery Failure	CTC Ext. to 6/30/20		1/31/2020	\$3,883,000	\$0	\$3,883,000	\$3,883,000
Alameda	Oakland	ALA170078	6783	ATP-ST-T5-3-ST	ATP-ST	ATP-ST	2324	ATPL-5012()	CON	Oakland Crossing to Safety				1/31/2020	\$1,564,000	\$0	\$1,564,000	\$1,564,000
Contra Costa	BART	CC-110082	5421	2010B	RTIP	RTIP-ST	2010B	TARPSTPL-6000()	CON	Walnut Creek BART TOD Access Improvements				1/31/2020	\$5,300,000	\$0	\$5,300,000	\$5,300,000
Contra Costa	CCTA			2321E	LPP-F	LPP-ST	2321E	-()	CON	Central Avenue and Carlson Blvd. Pavement Rehabilitation				6/30/2020	\$100,000	\$0	\$100,000	\$100,000
Contra Costa	CCTA			2321B	LPP-F	LPP-ST	2321B	-()	CON	Innovate 680: I-680 NB HOT/HOV				6/30/2020	\$2,286,000	\$0	\$2,286,000	\$2,286,000
Contra Costa	Concord			ATP-ST-T5-3-ST	ATP-REG	ATP-ST	2325	-()	PSE	Downtown Corridors Bike/Ped Improvement	Delivery Failure	CTC Ext. to 6/30/20		1/31/2020	\$404,000	\$0	\$404,000	\$404,000
Contra Costa	Concord			ATP-ST-T5-3-ST	ATP-REG	ATP-ST	2325	-()	ROW	Downtown Corridors Bike/Ped Improvement	Delivery Failure	CTC Ext. to 6/30/20		1/31/2020	\$85,000	\$0	\$85,000	\$85,000
Contra Costa	Contra Costa County	CC-170020	6507	ATP-REG-T5-3-FED	ATP-REG	ATP-FED	2309	ATPL-5928()	PSE	Fred Jackson Way First Mile/Last Mile Connection			8/14/2019	1/31/2020	\$161,000	\$0	\$161,000	\$161,000
Contra Costa	Contra Costa County	CC170020	6507	ATP-REG-T5-3-FED	ATP-REG	ATP-FED	2309	ATPL-5928()	ROW	Fred Jackson Way First Mile/Last Mile Connection				1/31/2020	\$200,000	\$0	\$200,000	\$200,000
Contra Costa	Contra Costa County	CC-130001	5670	ATP-ST-T4-2-FED	ATP-ST	ATP-FED	2123A	ATPL-5928(136)	CON	Bailey Road-State Route 4 Interchange	Delivery Failure	CTC Ext. to 6/30/20		1/31/2020	\$3,380,000	\$0	\$3,380,000	\$3,380,000
Contra Costa	Martinez			2321F	LPP-F	LPP-ST	2321F	-()	CON	Arnold Drive Sidewalk Gap Closure				6/30/2020	\$100,000	\$0	\$100,000	\$100,000
Contra Costa	Martinez			2321D	LPP-F	LPP-ST	2321D	-()	CON	Martinez Pavement Project	Delivery Failure	Will need award extension		6/30/2019	\$200,000	\$0	\$200,000	\$200,000
Contra Costa	Richmond	CC-150016	6278	ATP-ST-T4-2-FED	ATP-ST	ATP-FED	2122G	ATPL-5137()	CON	The Yellow Brick Road in Richmond's Iron Triangle				1/31/2020	\$5,277,000	\$0	\$5,277,000	\$5,277,000
Contra Costa	San Pablo				LPP-C	LPP-ST	2122H	-()	CON	Rumrill Boulevard Complete Streets				6/30/2020	\$3,200,000	\$0	\$3,200,000	\$3,200,000
Marin	Corte Madera	MRN170023	6814		ATP-REG	ATP-ST	2326	-()	PS&E	Central Marin Regional Pathways Gap Closure	Delivery Failure	CTC Ext. to 6/30/20		1/31/2020	\$345,000	\$0	\$345,000	\$345,000
Marin	San Rafael	MRN170012	6575	ATP-REG-T5-3-FED	ATP-REG	ATP-FED	2311	ATPL-5043()	CON	Francisco Boulevard East Sidewalk Widening				1/31/2020	\$4,025,000	\$0	\$4,025,000	\$4,025,000
Marin	TAM			2128G	LPP-F	LPP-ST	2128G	-()	CON	Downtown SMART Station Phase 2				6/30/2020	\$483,000	\$0	\$483,000	\$483,000
Napa	Calistoga	NAP150001	6013	2130M	RTIP	RTIP-FED	2130M	-()	CON	SR 128 and Petrified Forest Intersection Imp				1/31/2020	\$475,000	\$0	\$475,000	\$475,000
Napa	Caltrans			0376	RTIP	RTIP-FED	0376	-()	PSE	Rt 12/29/221 Soscol Intersection separation				1/31/2020	\$3,000,000	\$0	\$3,000,000	\$3,000,000
Napa	Caltrans			0376	RTIP	RTIP-FED	0376	-()	ROW	Rt 12/29/221 Soscol Intersection separation				1/31/2020	\$600,000	\$0	\$600,000	\$600,000
Napa	Napa (City)	NAP130004	5955	ATP-REG-T5-3-ST	ATP-REG	ATP-ST	2312	ATPL-6204()	CON	State Route 29 Bicycle & Pedestrian Undercrossing				1/31/2020	\$531,000	\$0	\$531,000	\$531,000
Napa	Yountville	NAP130009	5942	2130N	RTIP	RTIP-FED	2130N	RPSTPL-5395()	CON	Hopper Creek Pedestrian Bridge and Path Project				1/31/2020	\$500,000	\$0	\$500,000	\$500,000
Regional	BATA			0125	LPP-F	LPP-ST	125	-()	CON	Richmond San Rafael Structural Steel Paint - lower deck and towers				6/30/2020	\$19,885,000	\$0	\$19,885,000	\$19,885,000
Regional	SMART			2318C	LPP-F	LPP-ST	2318C	-()	CON	SMART Rail Maintenance Equipment Expansion Phase 2				6/30/2020	\$743,000	\$0	\$743,000	\$743,000
San Francisco	SFPDW			2319E	LPP-F	LPP-ST	2319E	-()	CON	Sunset and Parkside Streets Pavement Renovation				6/30/2020	\$2,104,000	\$0	\$2,104,000	\$2,104,000
San Francisco	SFMTA				ATP-REG	ATP-ST	2335	-()	CON	6th Street Pedestrian Safety Project				1/31/2020	\$6,000,000	\$0	\$6,000,000	\$6,000,000
San Francisco	SFMTA				ATP-ST	ATP-ST	2319	-()	CON	Geneva Ave Pedestrian and Bicycle Safety Improvement				1/31/2020	\$2,350,000	\$0	\$2,350,000	\$2,350,000
San Mateo	Caltrans				LPP-C	LPP-ST	0658D	-()	CON	US 101 Managed Lane Project - Northern Segment				6/30/2020	\$20,000,000	\$0	\$20,000,000	\$20,000,000
San Mateo	Caltrans				SCCP	SCCP-ST	0658D	-()	CON	US 101 Managed Lane Project - Northern Segment				6/30/2020	\$125,190,000	\$0	\$125,190,000	\$125,190,000
San Mateo	Daly City	SM-150012	6242	ATP-ST-T4-2-FED	ATP-ST	ATP-FED	2140W	ATPL-5196(040)	CON	Central Corridor Bicycle/Ped Safety Imps	Delivery Failure	CTC Ext. to 6/30/20		1/31/2020	\$1,719,000	\$0	\$1,719,000	\$1,719,000
San Mateo	SM C/CAG	SM-070002	2561	RIP-T4-12-FED-SM	RTIP	RTIP-FED	2140E	RPSTPL-6419()	PSE	Countywide ITS	RFA at CT-HQ	28-Nov-2018		1/31/2019	\$240,000	\$0	\$240,000	\$240,000
San Mateo	SM C/CAG	SM-070002	2561	2140E	RTIP	RTIP-FED	2140E	RPSTPL-()	CON	Countywide ITS				1/31/2020	\$4,058,000	\$0	\$4,058,000	\$4,058,000
San Mateo	SM C/CAG	SM-090014	4253	0668D	RTIP	RTIP-FED	0668D	RPSTPL-()	ENV	Improve US 101 operations near Rte 92				1/31/2020	\$2,411,000	\$0	\$2,411,000	\$2,411,000
San Mateo	SM C/CAG	SM-150017	6205	0658D	RTIP	RTIP-ST	0658D	-()	ROW	US 101 Managed Lanes				1/31/2019	\$16,000,000	\$0	\$16,000,000	\$16,000,000
San Mateo	SM C/CAG	SM-150017	6205	0658D	RTIP	RTIP-FED	0658D	ACNHP-Q101(351)	PSE	US 101 Managed Lanes	AC at FHWA	17-Dec-2018		1/31/2019	\$18,000,000	\$0	\$18,000,000	\$18,000,000
San Mateo	South San Francisco	SM-130030	6009	0648F	RTIP	RTIP-FED	0648F	ACNH-P082(028)	CON	SSF Grand Blvd Initiative: Kaiser Way to McLellan	AC Authorized	16-Mar-2018		1/31/2018	\$1,991,000	\$0	\$1,991,000	\$1,991,000
Santa Clara	San Jose				ATP-ST	ATP-FED	-()	-()	ENV	Better BikewaySJ - San Fernando Corridor			8/14/2019	1/31/2020	\$357,000	\$0	\$357,000	\$357,000
Santa Clara	Sunnyvale	SCL170059	6829	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2147A	ATPL-5213()	PSE	Sunnyvale Safe Routes to School Imps	Delivery Failure	CTC Ext. to 6/30/20		1/31/2020	\$318,000	\$0	\$318,000	\$318,000
Santa Clara	Sunnyvale	SCL170059	6829	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2147A	ATPL-5213()	CON	Sunnyvale Safe Routes to School Imps				1/31/2020	\$1,509,000	\$0	\$1,509,000	\$1,509,000
Santa Clara	Sunnyvale	SCL170017	6555	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2146B	ATPL-5213(068)	CON-NI	Sunnyvale SNAIL Neighborhood Improvements				1/31/2020	\$13,000	\$0	\$13,000	\$13,000
Santa Clara	Sunnyvale	SCL170017	6555	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2146A	ATPL-5213(068)	PSE	Sunnyvale SNAIL Neighborhood Improvements				1/31/2020	\$780,000	\$0	\$780,000	\$780,000
Santa Clara	Sunnyvale	SCL170017	6555	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2146A	ATPL-5213(068)	ENV	Sunnyvale SNAIL Neighborhood Improvements				1/31/2020	\$72,000	\$0	\$72,000	\$72,000
Santa Clara	VTA	SCL170064	6860	0503J	RTIP	RTIP-FED	0503J	-6264()	ENV	I-280 Soundwalls - SR-87 to Los Gatos Creek Bridge			8/14/2019	1/31/2020	\$833,000	\$0	\$833,000	\$833,000
Santa Clara	VTA	SCL150001	6054	0521C	RTIP	RTIP-FED	0521C	RPSTPL-6264()	ROW	I-680 Soundwalls - Capitol Expwy to Mueller Ave				1/31/2020	\$355,000	\$0	\$355,000	\$355,000
Santa Clara	VTA			2015F	RTIP	RTIP-FED	2015F	-6264()	CON	US 101 Express Lanes - Phase 4 - Civil				1/31/2020	\$2,300,000	\$0	\$2,300,000	\$2,300,000
Santa Clara	VTA	SCL110002	4198	2015J	RTIP	RTIP-FED	2015J	-6264()	PSE	US 101 Express Lanes - Phase 5 - ETS				1/31/2020	\$10,188,000	\$0	\$10,188,000	\$10,188,000
Solano	Caltrans				TCEP	TCEP-ST	5301X	-()	CON	I-80/680/12 Interchange Package 2A				6/30/2020	\$53,200,000	\$0	\$53,200,000	\$53,200,000
Solano	Fairfield	SOL170006	6536	ATP-ST-T5-3-FED	ATP-ST	ATP-FED	2315	ATPL-5132()	ENV	East Tabor Tolenas SR25 Sidewalk Closure Gap			8/14/2019	1/31/2020	\$88,000	\$0	\$88,000	\$88,000
Solano	Suisun City	SOL170007	6546	ATP-REG-T5														



**Welcome to the C O I N !**

*This is a Caltrans Oversight Information Notice, or “COIN” for short. These short, single-topic bulletins are intended to provide outreach information and guidance to local agencies on issues pertaining to Federal-aid projects. They cover a wide variety of subjects, including discussions of findings resulting from process reviews by Caltrans and/or FHWA, changes in procedures or regulations, reminders of existing procedures or best practices, and other timely information. The goal is to ensure proper and timely delivery of Federal-aid projects.*

**PROJECT DELIVERY REQUIREMENTS:  
FEDERAL FUNDING VS. NON-FEDERAL FUNDING BY PROJECT PHASE**

Senate Bill 1 (SB1) is resulting in higher levels of State funds being available to deliver transportation projects. Accordingly, local agencies / MPOs are programming and funding projects in strategic ways to leverage these State funds in combination with available Federal funds.

This COIN clarifies some project delivery requirements triggered using Federal vs. non-Federal (State or local) funds for various project phases.

The following five (5) principles summarize which project delivery requirements apply to the various funding scenarios:

1. **If any Federal funds** are used for **any phase** of the project (i.e., an approved E-76 for PE, R/W, CON or CE) the following is required:
  - **NEPA** environmental clearance
  - Full conformance to the Uniform Relocation, Assistance and Real Property Acquisition Policies Act of 1970 (i.e., **the “Uniform Act”**) for all right of way acquisition procedures
  - **FHWA Buy America compliance**
  - Project improvements in **compliance with the Americans with Disabilities Act (ADA)**
2. **If any Federal funds are used** specifically for **the CON/CE phase** of a project, the following is required **in addition** to the requirements in Part 1 above:
  - FHWA Form 1273 included in the contract documents and the executed contract agreement
  - Mandatory Federal Language included (Exhibit 12-G of the Local Assistance Procedures Manual (LAPM))
  - DBE Program compliance pursuant to Chapter 9 of the LAPM
  - Plans, Specifications, and Estimate (PS&E) pursuant to Chapter 12 of the LAPM
  - Construction Engineering (CE) / Contract Administration in conformance with Chapter 16 of the LAPM
3. **If no Federal funds are used for the CON/CE phase** (even if Federal funds are used for prior phases of work), the construction contract is **not** considered a Federal-aid contract, and as such the elements of **Part 2** above **are not required**.

4. **If any Federal funds or federal reimbursement will be used or sought for an A&E contract**, requirements of Chapter 10 of the LAPM must be followed including the consultant selection and management process. **If no Federal funds or Federal reimbursement will be used or sought for an A&E contract**, the agency's consultant selection and management process must conform to the California Public Contract Code, the California Government Code, and any other applicable laws of the State of California and the local agency's policies and procedures.
  
5. **If Federal funding is inserted into a previously non-federally funded phase of work**, the agency will have to conform to all of the above procedures as applicable. For example, if an entire project is programmed with State/Local (Non-Federal) funds, but then the agency/MPO decides to insert Federal funding into the CON/CE phase of work, the agency will then have to go back and initiate the NEPA process, which can significantly delay the delivery of the project.

Note that any State-funded projects that are subject to allocation and oversight by the CTC (such as SB 1 and the State-funded ATP) are subject to current CTC Guidelines and submittal requirements.

#### ABBREVIATIONS

<b>A&amp;E</b>	Architectural and Engineering
<b>ATP</b>	Active Transportation Program
<b>CE</b>	Construction Engineering (Support)
<b>CON</b>	Construction (Capital)
<b>CTC</b>	California Transportation Commission
<b>DBE</b>	Disadvantaged Business Enterprise
<b>MPO</b>	Metropolitan Planning Organization
<b>NEPA</b>	National Environmental Policy Act
<b>PE</b>	Preliminary Engineering (includes PSE phase on State-Funded/CTC-Allocated projects)
<b>R/W</b>	Right of Way (includes Capital and Support subphases)